

Attached Table 1

### Timetable for Book-Entry Central Government Securities Settlement System (CGSS)

Time	CGSS Center	Online Institution Centers
Mon. ~ Fri.		
08:30	<ul style="list-style-type: none"> <li>• System start-up</li> </ul>	<ul style="list-style-type: none"> <li>• Log onto system</li> <li>• Log onto applications</li> </ul>
09:00	<ul style="list-style-type: none"> <li>• Start of daily operations</li> <li>• Accepting Interbank transfers and queries</li> </ul>	<ul style="list-style-type: none"> <li>• Start of daily operations</li> <li>• Accepting Interbank transfers, Intrabank transfers and queries</li> </ul>
16:40	<ul style="list-style-type: none"> <li>• Pre-announce interbank transfers will no longer be accepted</li> </ul>	
17:00	<ul style="list-style-type: none"> <li>• Interbank transaction electronic messages no longer accepted</li> <li>• Returning uncompleted interbank transactions.</li> </ul>	
17:10	<ul style="list-style-type: none"> <li>• Processing of already-accepted interbank transactions and transmission of processing results</li> </ul>	
17:20	<ul style="list-style-type: none"> <li>• Transmission of account-closing information</li> </ul>	<ul style="list-style-type: none"> <li>• Account checking</li> <li>• Transmission of shut-down notices</li> </ul>
17:40	<ul style="list-style-type: none"> <li>• System shut-down</li> </ul>	

Form 1

## Application for Opening /Amending /Closing Book-Entry CGS Account

Securities  
Cash

Date:

Depositor code(please refer to the back of this application):

Depositor information	Security account number		Account name															
	Cash account number																	
	Company, business establishment, or organization	Name													Other registered certificate or license numbers	Tze Number		
		Profit-seeking enterprise uniform number																
	Address																	
	Depositor or statutory responsible person	Name													Employer			
		ID card number													Birth	Month	Day	
															Place of work			
		Registered household address																
		Corresponding address																

When opening an account, please attach authorized seal in triplicate; when amending the basic information, please enter account number, account name and amend items; when canceling an account, please enter account number and account name.

Notes for opening the trust account:

1. In addition to certificate for identification and (or) certificate of authorization, a copy of trust agreement (if the trustee is a trust enterprise, the simplified trust agreement) and notification of uniform code shall be attached; when opening an account for a charitable trust, the approval from the competent authority shall also be attached.
2. The account name shall indicate the name of the settlor or the nature of the trust such as "trust account of xxx as a trustee of A" or "xxx omnibus trust account".

To: Your Bank

Applicant:  
Telephone:  
Authorized seal :

Clerk: Officer : Accountant : Supervisor:

## Classification Code of Depositor

Central Bank of the Republic of China(Taiwan) ( 111 )	Credit Cooperatives ( 161 )
Domestic banks ( 112 )	Credit departments of fishermen' associations ( 162 )
Local Branches of foreign bank ( 113 )	Credit departments of fishermen' associations ( 163 )
Medium business banks ( 114 )	Chunghwa Post Co. ( 170 )
Life insurance companies ( 121 )	Securities firms ( 200 )
Property insurance companies ( 122 )	Institutions ( 300 )
Central Deposit Insurance Company ( 123 )	Funds ( 400 )
Investment and trust companies ( 130 )	Individuals ( 500 )
Bills finance companies ( 140 )	Foreign institutions ( 600 )
Securities finance companies ( 150 )	Foreign individuals ( 700 )
	Others ( 800 )
	Central government agencies ( 810 )
	Trustees ( 820 )

Date of opening account:	
<b>Book-Entry CGS Authorized Signature Specimen</b>	
	Securities
	Deposits
Account name:	Account number:
Address:	Tel:
Type of authorized signature	
Starting date: The _____ authorized signature shown above shall be effective when _____ presented.	

Name of statutory responsible person:	Title:		
Address:	Tel:		
Date of authorized signature change:	Reason:		
Date of authorized signature revocation:	Reason:		
Please affix official seal			
Clerk:	Inspector:	Accountant:	Supervisor:



- government bonds to book-entry government bonds
- EX: exchange of exchangeable bonds to government held stocks
- EXSA: application for redemption at holders' option of exchangeable bonds
- EXS: redemption at holders' option of exchangeable bonds
- EXB: redemption at issuer's option of exchangeable bonds

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\* Attention: For strengthening the verification function, please check the information in the above table. If the information is correct, please affix the original seal impression, and send this form back to the bank, otherwise the information will be deemed as correct.

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The registered information until end of the month of \_\_\_\_\_ (mm) of the year \_\_\_\_\_ for account number \_\_\_\_\_, account name \_\_\_\_\_ in your bank has been verified.  
 To \_\_\_\_\_ Bank  
 Date:yy/mm/dd  
 From Depositor: \_\_\_\_\_

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Affix all original seal impressions



RP: repurchase agreement	RTF: depositing reserves registration	RTFD: revocation of depositing reserves registration	FTF: ownership transfer revocation of depositing reserves registration with ownership transfer	LDGD: return registration
RW: repayment of principal	IY: receipt of interest	RV: return transaction	CH: conversion of physical government bonds to book-entry government bonds	STRP: bond stripping
			EX: exchange of exchangeable bonds to government held stocks	RCNS: bond restitution
			EXSA: application for redemption at holders' option of exchangeable bonds	
			EXS: redemption at holders' option of exchangeable bonds	
			EXB: redemption at issuer's option of exchangeable bonds	

### **Instructions for Book-Entry CGS Passbooks**

1. The applicant shall fill out authorized signature specimens, which shall be kept for verification, when applying for a passbook. If the passbook or authorized seal is lost, the depositor shall immediately report to this bank in order to begin lost reporting procedures. Before lost reporting procedures are completed, this bank shall bear no responsibilities for any decrease in securities balance.
2. The passbook will become effective after the person is authorized by this bank to use seal.
3. The passbook may not be transferred, sold, pledged, or used for any other purpose.
4. The content of the passbook shall include 7 categories of entry including "transfer registration," "lodgment registration," "return registration," "restricted outward registration," "restricted inward registration," "issuance of RP/RS transaction certificate", and "exchange of exchangeable bonds for government held stocks/ redemption at holders' option / redemption at issuer's option":
  - (1) "Transfer registrations": refers to the registrations of inward or outward title transfers of book-entry CGS, such as in purchase, trading, succession, gift, or trust.
  - (2) "Lodgment registrations": refers to the registration of inward or outward possession transfers of book-entry CGS when the underlying securities serve as the lodgment object deposited with the Court.
  - (3) "Return registrations": refers to the registration of inward or outward possession transfer of book-entry CGS when the underlying securities serve as the lodgment object deposited with the Court are returned to the original depositor or delivered to a third party.
  - (4) "Restricted outward registrations": refers to the registration of restricted outward transfer, such as in depositing trust fund reserves, pledge, public guarantee, without ownership transferred out.
  - (5) "Restricted inward registrations": refers to the registration of receiving securities turned over by other person for the securities that have been deposited as trust fund reserves, pledge, public guarantee, without ownership transferred in.
  - (6) "Issuance of RP/RS transaction certificate": refers to the registration of RP/RS transaction and restriction of the transfer of the underlying securities.
  - (7) "Exchange of exchangeable bonds for government-held stocks / redemption at holders' option / redemption at issuer's option": refers to the registration of exchange of exchangeable bonds for government-held stocks, redemption at holders' option or at the option of Ministry of Finance.

5. This bank has verified your application form with the authorized signature specimens retained for your account. Once registrations have been completed, this bank shall bear no responsibilities for any loss if it is not possible to tell whether the authorized signature is forged or tampered with the naked eye.
6. If a passbook entry does not correspond to the information kept in this bank's computer main files, the information in this bank's computer main files shall prevail.
7. If principal or interest of the securities recorded in this passbook is due, this bank shall pay interest or interest/ principal directly into the cash account of the entitled person without any further notification.
8. The interest of the book-entry central government securities shall be calculated in accordance with the Offering Announcement by the Ministry of Finance. The interest of the book-entry Treasury bills shall be calculated as the difference between the par value and the auction price; with respect to STRIPS, the interest income shall be recognized, based on the days actually in possession each year, in accordance with the " Amortization Table of Interest" issued by the GreTai Securities Market.
9. The "interest received" column in the transferor's passbook or the transferee's passbook, assigned by an agreement between the two parties concerned as they apply for restricted outward registration and restricted inward registration, shall show "TY", when interest is received by the passbook holder.
10. For each issue, the amount to be transferred out may not exceed the disposable balance (i.e. the securities balance minus the accumulated amount of restricted outward transfers and other deductibles).
11. Any matter not provided by the instructions shall be handled in accordance with the regulations of the competent authorities and this bank and generally accepted banking practice.

### **Instructions for Book-Entry CGS Loose-Leaf Passbooks**

1. An applicant shall fill out authorized signature specimens, which shall be kept for certification, when opening an account. If the authorized seal is lost, the depositor shall immediately report to this bank in order to begin lost reporting procedures. Before lost reporting procedures are completed, this bank shall bear no responsibilities for any decrease in securities balance.
2. After security transaction records have been registered by this bank, a loose-leaf passbook bearing this bank's authorization signature shall be issued to the depositor. An application for re-issuance may be made when necessary.
3. The passbook may not be transferred, sold, pledged, or used for any other purpose.
4. The content of the passbook shall include 7 categories of entry including "transfer registration," "lodgment registration," "return registration," "restricted outward registration," "restricted inward registration," "issuance of RP/RS transaction certificate", and "exchange of exchangeable bonds for government held stocks/redemption at holders' option / redemption at issuer's option":
  - (1) "Transfer registrations": refers to the registration of inward or outward title transfer of book-entry CGS, as in purchase, trading, succession, gift, or trust.
  - (2) "Lodgement registrations": refers to the registration of inward or outward possession transfer of book-entry CGS when the underlying securities serve as the lodgement object deposited with the Court.
  - (3) "Return Registrations": refers to the registration of inward or outward possession transfer of book-entry CGS when the underlying securities serve as the lodgement object deposited with the Court are returned to the original depositor or delivered to a third party.
  - (4) "Restricted outward registrations": refers to the registration of restricted outward transfer, such as in depositing trust fund reserves, pledge, public guarantee, without ownership transferred out.
  - (5) "Restricted inward registrations": refers to the registration of receipt securities turned over by other person for the securities that have been deposited as trust fund reserves, pledge, public guarantee, without ownership transferred in.
  - (6) "Issuance of RP/RS transaction certificate": refers to the registration of

RP/RS transaction and restriction of the transfer of the underlying securities.

- (7) “Exchange of exchangeable bonds for government held stocks/ redemption at holders’ option / redemption at issuer’s option”: refers to the registration of registration of exchange of exchangeable bonds for government held stocks, redemption by Ministry of Finance with cash as at holders’ option or at its own option.
5. This bank has verified your application form with the authorized signature specimens retained for your account. Once registrations have been completed, this bank shall bear no responsibilities for loss if it is not possible to tell whether the authorized signature is forged or tampered with the naked eye.
  6. If a passbook entry does not correspond to the information kept in this bank's computer main files, the information in this bank's computer main files shall prevail.
  7. If principal or interest of the securities recorded in this passbook is due, this bank shall pay interest or interest/ principal directly into the deposit account of the entitled person without any further notification.
  8. The interest of the book-entry central government securities shall be calculated in accordance with the Offering Announcement by the Ministry of Finance. The interest of the book-entry Treasury bills shall be calculated as the difference between the par value and the auction price; with respect to STRIPS, the interest income shall be recognized based on the days actually in possession each year, in accordance with the " Amortization Table of Interest" issued by the GreTai Securities Market.
  9. The “interest received” column in the transferor’s passbook or the transferee’s passbook, assigned by an agreement between the two parties concerned as they apply for restricted outward registration and restricted inward registration, shall show "IY", when interest is received by the passbook holder.
  10. For each issue, the amount to be transferred out may not exceed the disposable balance (i.e. the securities balance minus the accumulated amount of restricted outward transfers and other deductibles).
  11. Any matter not provided by the instructions shall be handled in accordance with the regulations of the competent authorities and this bank and generally accepted banking practice.

### Application for Extension of CGSS Operating Hours

Due to system malfunction line interruption policy support occurring in our bank, there are still (number) messages of interbank transfer unfinished, and transactions are unable to be completed by the deadline time of interbank transaction transfer, please extend Your Bank System's close time to \_\_\_hh\_\_\_mm.

To:

Department of the Treasury, Central Bank of the Republic of China (Taiwan)

Name of the clearing bank

The original seal of the security account:

Name of the contact person:

Tel no :

Date:

Note:

1. To extend CGSS operating hours the applicant shall fill out this application and fax it to Department of the Treasury, prior to 5:30 p.m., any request received after that time it will not be accepted.
2. Fax number of Department of the Treasury: 23571990.
3. A clearing bank for extension of CGSS operating hours shall report to Department of the Treasury in writing, within three business days after application, the cause of system malfunctions, handling and improvement measures; if the extension of CGSS operating hours in the preceding paragraph involves the support of the CBC Interbank Funds Transfer System, shall be filed to the Department of Banking.

### Application for Book-Entry CGS Clearing Bank

Application date:

Name of bank		Name and title of statutory responsible person		
Net value				
Paid in capital/ risky capital ratio				
Ratio of before-tax net income to net worth during most recent three years	(year)	(year)	(year)	average
Documents submitted	<ol style="list-style-type: none"> <li>1. Financial report for the most recent year: If a private enterprise, CPA-attested annual financial statements adopted by board of directors and acknowledged by supervisors. If a public organization, final accounting statement reviewed by the auditing agency.</li> <li>2. Description of information technology capability: Including computer equipment, management and maintenance capability, backup capability, security measures, and existing interbank system participation and performance.</li> </ol>			

This bank hereby applies to serve as a clearing bank, participates in the "Central Government Securities Clearing and Settlement System Settlement," and provides book-entry CGS service, and is therefore willing to abide by the "Directions for the Operation of Book-Entry Central Government Securities" and other relevant regulations. With regard to every interbank transaction, this bank agrees to allow your bank to credit or debit our securities account and/or cash account at your bank in accordance with account transfer instructions.

To:

Department of the Treasury, Central Bank of the Republic of China (Taiwan)

Bank:

(Affix organization seal and signature impression  
/seal impression of statutory responsible person)





## Application for Central Government Securities Outward Transfer Registration (and Request for Collection)

Date:

Transaction no.:

Unit: NT\$

Information on applicant and counterparty:

Item	Applicant	Counterparty
Clearing bank		
Account name		
Profit-seeking enterprise uniform number (or ID card number)		
Security account number		
Cash account number		

When the counterparty is Central Bank of the Republic of China (Taiwan) ( CBC ) (conducting open market operations), please fill the cash account number with the number of CBC interbank funds account of the applicant or its agent, opened at Department of Banking of the CBC.

Security information:

Security name	Par Value	Funds receivable	Note
Total			
Total Par Value: NT\$			
Total funds receivable: NT\$			

1. Please register the information provided above.
2. Securities transfer method:
  - (1) Delivery-versus-payment transfer : Please transfer the funds receivable to the applicant's cash account.  
(When the counterparty is the CBC, the CBC shall transfer funds into the CBC interbank funds account of the applicant or its agent).
  - (2) Free-of-payment transfer :
    - Transfer between the accounts of the same person, merge/consolidation transfer.
    - Net settlement, securities lending, physical delivery futures contract, use securities as margin deposit.
    - Self-settlement of funds receivable (limited to customers of the same clearing bank).
    - Securities transfer between head office and branch office      Swap of



**Application for Book-Entry CGS  
Inward Transfer Registration (and Request for Payment)**

Date:

Transaction serial no.:

Information on applicant and counterparty:

Unit: NT\$

Item	Applicant	Counterparty
Clearing bank		
Account name		
Profit-seeking enterprise uniform number (or ID card number)		
Security account number		
Cash account number		

Security information:

Security name	Par value	Funds payable	Note
Total			
Total par value: NT\$			
Total funds payable: NT\$			

1. Please register the information provided above.
2. Your bank is requested to deduct the funds payable from the applicant's cash account.

To: Bank

Applicant:

Tel No:

Original seal impression of deposit account:

Slip 1: Kept by customer

Slip 2: Kept by the deposit department of the clearing bank

Form 11

**Application for Treasury Custody  
(exclusively for lodgement of book-entry CGS)**

Date of deposit:

Depositor and court information:  
Unit: NT\$

Item	Depositor	Court
Clearing bank		
Account name		
ID number		
Security account		

Security information

Security name	Par value	Note
Total		
Total par value: NT\$		

Please register the information listed above  
To

Name of bank

Depositor:  
Original seal impression of deposit account:

Slip 1: Kept by the clearing bank

**Lodgement Certificate for Treasury Custody  
( exclusively for lodgement of book-entry CGS )**

Date of lodgement:

Depositor and court information:

Unit: NT\$

Item	Depositor	Court
Clearing bank		
Account name		
ID number		
Security account		

Security information :

Security name	Par value	Note
Total		
Total par value: NT\$		

1. This bank has duly registered the above information. Registered items shall be closed after Central Bank of the Republic of China (Taiwan) has transferred and paid the principal and interest upon maturity of securities.
2. For any principal and interest resulting from the maturity of securities or the redemption of exchangeable bonds at issuer's option during the period of lodgement, the Court's corresponding clearing bank shall withhold tax (taxpayer is the party making the lodgment request) and keep custody of the after-tax sum, as lodgement object.

Name of bank

Slip 2: Kept by depositor

Form 12

(Color specification: white)

**Bank Branch Deposit Certificate of Treasury Custody  
( exclusively for lodgement of book-entry CGS)**

Depositing date:

Deposit receipt no.:

Return date:

Original deposit receipt no.:

Unit: NT\$

Item	Depositor		Court		
Clearing bank					
Account name					
ID number					
Security account					
Security name	Issue code	Par value	Coupon rate/ issuance price	Interest payment intervals	Maturity date
Total					
Total amount: NT\$					

1. This bank has duly registered the above information. For securities matured or redeemed at issuer's option of exchangeable bonds, registered information shall be closed after Central Bank of the Republic of China (Taiwan) has transferred the principal and interest upon the maturity of securities.
2. For any principal and interest resulting from the maturity of securities or the redemption of exchangeable bonds at issuer's option during the period of lodgment, the Court's corresponding clearing bank shall withhold tax (taxpayer is the party making the lodgment request) and keep custody of the after-tax sum, as lodgment object.

----- From ----- Bank -----  
(Fill out by the Court)

1. Securities due and undue, or principal and interest kept in the custody of the clearing bank due to redemption at issuer's option of exchangeable bonds, which are listed in this receipt are allowed to be received with a signature by the designated person.					
2. Accrued interest of the matured principal and interest of the securities or principal and interest received due to redemption at issuer's option of exchangeable bonds are allowed not allowed to be received by the designated person.					
The recipient designated by the Court: _____			To _____		
Bank					
Court name	Cashier	Accounting chief	Governor	Permission date	
				Year	Month
					Day

(Fill out by the recipient)  
by the bank)

(Fill out

Information of the recipient

Principal

and Interest due and Derived Interest

Clearing bank	
Account name	
Uniform number	
Security account	

Principle	
Interest	
Derived I Interest	
Total amount	

Please transfer securities undue listed in this receipt into the designated securities account. The For matured

principal and interest of the securities or principal and interest received due to redemption at issuer's option of exchangeable bonds, Please calculate and pay the amount and its accrued interest.

To

Bank

Signature of the receiver:

This slip shall be submitted to the lodgment office of the Court of jurisdiction, and then to the cashier section. Please present this receipt and original seal impression for reclaiming the securities and money deposited.

Form 12-1  
(Color specification: light yellow)

## Bank Branch Receipt Certificate of Treasury Custody (exclusively for lodgement of book-entry CGS)

Depositing date: \_\_\_\_\_ Deposit receipt no.: \_\_\_\_\_  
Return date: \_\_\_\_\_ Original deposit receipt no.: \_\_\_\_\_ Unit: NT\$

Item	Depositor			Court	
Clearing bank					
Account name					
ID number					
Security account					
Security name	Issue code	Par value	Coupon rate/ issuance price	Interest payment intervals	Maturity date
<b>Total</b>					
<b>Total amount: NT\$</b>					

1. This bank has duly registered the above information. For the securities matured or redeemed at issuer's option of exchangeable bonds, registered information shall be closed after Central Bank of the Republic of China (Taiwan) has transferred the principal and interest upon the maturity of securities.
2. For any principal and interest resulting from the maturity of securities or the redemption of exchangeable bonds at issuer's option during the period of lodgment, the Court's corresponding clearing bank shall withhold tax (taxpayer is the party making the lodgment request) and keep custody of the after-tax sum, as lodgment object.

Bank:

This slip shall be submitted to the lodgment office of the Court of jurisdiction, and then to the accounting office for account registration.

Form 12-2  
(Color specification: light blue)

## Bank Branch Receipt Notice of Treasury Custody (exclusively for lodgement of book-entry CGS)

Depositing date: \_\_\_\_\_ Deposit receipt no.: \_\_\_\_\_  
Return date: \_\_\_\_\_ Original deposit receipt no.: \_\_\_\_\_ Unit: NT\$

Item	Depositor			Court	
Clearing bank					
Account name					
ID number					
Security account					
Security name	Issue code	Par value	Coupon rate/ issuance price	Interest payment intervals	Maturity date
<b>Total</b>					
<b>Total amount: NT\$</b>					

1. This bank has duly registered the above information. For the securities matured or redeemed at issuer's option of exchangeable bonds, registered information shall be closed after Central Bank of the Republic of China (Taiwan) has transferred the principal and interest upon the maturity of securities.
2. For any principal and interest resulting from the maturity of securities or the redemption of exchangeable bonds at issuer's option during the period of lodgment, the Court's corresponding clearing bank shall withhold tax (taxpayer is the party making the lodgment request) and keep custody of the after-tax sum, as lodgment object.

Bank:

This slip shall be submitted to the depository office of the Court of jurisdiction and routed to the accounting office for account registration.

Form 12-3  
(Color specification: light pink)

**Bank Branch Receipt of Treasury Custody  
(exclusively for lodgement of book-entry CGS)**

Depositing date: \_\_\_\_\_ Deposit receipt no.: \_\_\_\_\_  
Return date: \_\_\_\_\_ Original deposit receipt no.: \_\_\_\_\_ Unit: NT\$

Item	Depositor			Court	
Clearing bank					
Account name					
ID number					
Security account					
Security name	Issue code	Par value	Coupon rate/ issuance price	Interest payment intervals	Maturity date
<b>Total</b>					
<b>Total amount: NT\$</b>					

1. This bank has duly registered the above information. For the securities matured or redeemed at issuer's option of exchangeable bonds, registered information shall be closed after Central Bank of the Republic of China (Taiwan) has transferred the principal and interest upon the maturity of securities.
2. For any principal and interest resulting from the maturity of securities or the redemption of exchangeable bonds at issuer's option during the period of lodgment, the Court's corresponding clearing bank shall withhold tax (taxpayer is the party making the lodgment request) and keep custody of the after-tax sum, as lodgment object.

Bank:

This slip shall be kept by the clearing bank.

**Application for Book-Entry CGS Pledge Registration**

Date:

Serial number:

Information on applicant and pledgee:

Unit: NT\$

Item	Applicant	Pledgee
Clearing bank		
Account name		
Profit-seeking enterprise uniform number (or ID card number)		
Security account number		

Security information:

Security name	Amount	Note
Total		

Total amount: NT\$

Pledge default agreement? **Y, Yes** **N, No**

1. Please register the above information.
2. With regard to the above registered securities, the pledgor agrees that: The pledged securities shall not be transferred unless this registration has been revoked. Interest paid on maturity or on redemption at issuer's option of the exchangeable bonds the principal and interest shall be transferred to the pledgor's pledgee's cash account. Originally registered information shall be closed after the principal and interest paid on maturity or on redemption at issuer's option of the exchangeable bonds has been transferred by the Central Bank of the Republic of China (Taiwan). Principal paid on maturity or on redemption at issuer's option of the exchangeable bonds shall be hold at the pledgor bank, which shall record those originally registered items and shall not transfer funds unless one party presents the other party's consent document or other legally valid documents.
3. The pledgor agrees that this bank may perform exercise of pledge procedures in accordance with the relevant documents attached by the transferee (the pledgee or a third party).
4. "Pledge default agreement" means an agreement between the pledgor and the pledgee that the ownership of the pledged securities shall be transferred to the pledgee upon pledgor's failure to pay the claim at maturity.

To

Your Bank

Pledgee:

Applicant ( pledgor ) :

Original seal impression

Tel.:

of securities account:

Original seal impression of securities account

Slip 1: check slip (kept by clearing bank)

Form 13-1

## Certificate of Book-Entry CGS Pledge Registration

Date:

Serial number: Information on applicant and pledgee:

Unit: NT\$

Item	Applicant	Pledgee
Clearing bank		
Account name		
Profit-seeking enterprise uniform number (or ID card number)		
Security account number		

Security information:

Security name	Amount	Note
Total		
Total amount: NT\$		
Pledge default agreement? <b>Y, Yes</b> <b>N, No</b>		

1. The above information has been registered by this bank.
2. With regard to the above registered securities, the pledgor agrees that: The pledged securities shall not be transferred unless this registration has been revoked. Interest paid on maturity or on redemption at issuer's option of exchangeable bonds shall be transferred to the pledgor's pledgee's cash account. Originally registered information shall be closed after the principal and interest paid on maturity or on redemption at issuer's option of the exchangeable bonds has been transferred by the Central Bank of the Republic of China (Taiwan). Principal paid on maturity or on redemption at issuer's option of exchangeable bonds shall be hold at the pledgor bank, which shall record those originally registered items and shall not transfer funds unless one party presents the other party's consent document or other legally valid documents.
3. Thepledgor agrees that this bank may perform exercise of pledge procedures in accordance with the relevant documents attached by the transferee (the pledgee or a third party).
4. "Pledge default agreement" means an agreement between the pledgor and the pledgee that the ownership of the pledged securities shall be transferred to the pledgee upon pledgor's failure to pay the claim at maturity.

Bank :

Slip 2: Notice slip (given to the pledgee by customer)

Slip 3: Certificate slip (kept by customer)

Form 13-2

Application for Registration of Pledge Default Agreement  
on Book-Entry CGS

Date:

Serial number: Information on applicant and pledgee:  
NT\$

Unit:

Copy 1: Check copy (to be kept by the clearing bank).

Item	Applicant	Pledgee
Clearing bank		
Account name		
Profit-seeking enterprise uniform number (or ID card number)		
Security account number		

Security information:

Security name	Amount	Note
Total		

Total amount: NT\$

1. Please enter a pledge default agreement for the aforesaid registration carried out on the date of \_\_ (yy) \_\_ (mm) \_\_ (dd) (with original registration number: )
2. "Pledge default agreement" means an agreement between the pledgor and the pledgee that the ownership of the pledged securities shall be transferred to the pledgee upon pledgor's failure to pay the claim at maturity.

To

Your Bank

Pledgee:  
Original seal impression:  
of security account:

Applicant (pledgor):  
Tel.:  
Original seal impression  
of security account:

## Certificate of Book-Entry CGS Pledge Default Agreement

Date:

Information on applicant and pledgee:

Item	Applicant	Pledgee
Clearing bank		
Account name		
Profit-seeking enterprise uniform number (or ID card number)		
Security account number		

Security information:

Security name	Amount	Note
Total		
Total amount: NT\$		

1. The above information has been registered by this bank.
2. "Pledge default agreement" means an agreement between the pledgor and the pledgee that the ownership of the pledged securities shall be transferred to the pledgee upon pledgor's failure to pay the claim at maturity.

Bank :

Copy 2: Notice copy (to be given to the pledgee by customer)  
 Copy 3: Verification copy (to be kept by customer).

**Application for Book-Entry CGS  
Public Guarantee Reserves Deposit Registration**

Date:

Serial number:

Information on applicant and recipient:

Unit: NT\$

Item	Applicant	Recipient
Clearing bank		
Account name		
Profit-seeking enterprise uniform number (or ID card number)		
Security account number		

Security information:

Security name	Par value	Note
Total		
Total par value: NT\$		

- Please register the above information on  
 Depository Reserves: Trust funds (code:501) Indemnity fund (code:511)
- With regard to the above registered items, the applicant agrees that: The deposited securities shall not be transferred unless this registration has been cancelled. Interest paid on maturity or on redemption at issuer's option of exchangeable bonds shall be transferred to the applicant's recipient's deposit account. Originally registered items shall be closed after the principal and interest has been transferred by the Central Bank of the Republic of China (Taiwan) .. Principal paid on maturity or on redemption at issuer's option of exchangeable bonds shall be transferred to the recipient by the recipient bank, and shall subsequently serve as guarantee for public affairs or depositing reserves.
- The applicant agrees that this bank may proceed to perform transfer-type revocation procedures in accordance with proof of recipient's consent attached by the transferee.

To

Your Bank:

Applicant:

Original seal impression of deposit account:

Slip 1: Check slip (kept by clearing bank)

**Certificate of Book-Entry CGS  
Public Guarantee Reserves Deposit Registration**

Date:

Serial number:

Information on applicant and recipient:

Unit: NT\$

Item	Applicant	Recipient
Clearing bank		
Account name		
Profit-seeking enterprise uniform number (or ID card number)		
Security account number		

Security information:

Security name	Par value	Note
Total		
Total par value: NT\$		

1. The above information has been registered by this bank.
2. With regard to the above registered items, the applicant agrees that: The deposited securities shall not be transferred unless this registration has been cancelled. Interest paid on maturity or on redemption at issuer's option of exchangeable bonds shall be transferred to the applicant's recipient's deposit account. Originally registered items shall be closed after the principal and interest has been transferred by the Central Bank of the Republic of China (Taiwan). Principal paid on maturity or on redemption at issuer's option of exchangeable bonds shall be transferred to the recipient by the recipient bank, and shall subsequently serve as guarantee for public affairs or depository reserves.
3. The applicant agrees that this bank may proceed to perform transfer-type revocation procedures in accordance with proof of recipient's consent attached by the transferee.

Bank :

Slip 2: Notice slip (given by customer to recipient)

Slip 3: Verification slip (kept by customer)

**Revocation Application for Book-Entry CGS  
Pledge Public Guarantee Reserves Deposit Registration**

Date:

Serial number:

Unit: NT\$

Information on applicant and pledgee or recipient:

Item	Applicant	Pledgee (Recipient)
Clearing bank		
Account name		
Profit-seeking enterprise uniform number (or ID card number)		
Security account number		

Security information:

Security name	Par value	Note
Total		
Total par value: NT\$		

1. With regard to the above registration (with original registration no.: \_\_\_\_\_) completed on \_yy/mm/dd\_ (date), please revoke it in part in whole.

2. Revocation registration of reserves deposit:

Trust funds (code:502) Indemnity fund (code:512)

To

Your Bank

The above revocation application is agreed.

Pledgee (Recipient): Applicant's:(Pledgeor's, Guarantee Provider's,  
Original seal impression or Recipient's) Signature:

of deposit account: Tel:

(not required if agreement provided)

Slip 1: Check slip (kept by clearing bank)

**Revocation Certificate of Book-Entry CGS  
Pledge Public Guarantee Reserves Deposit Registration**

Date:

Serial number:

Unit: NT\$

Information on applicant and pledgee or recipient:

Item	Applicant	(Pledgee) Recipient
Clearing bank		
Account name		
Profit-seeking enterprise uniform number (or ID card number)		
Security account number		

Security information:

Security name	Par value	Note
Total		
Total par value: NT\$		

The above registration (with original registration no.: \_\_\_\_\_) that had been completed on \_yy/mm/dd\_\_ (date) has been revoked in part in whole.

Bank:

Slip 2: Notice slip (given by customer to pledgee or recipient)

Slip 3: Verification slip (kept by customer)

Form 16

## Notice of Exercise of Pledge of Book-Entry CGS

Date:

Serial number:

Unit: NT\$

Registration information:

Item	Pledgor	Pledgee	Applicant
Clearing bank			
Account name			
Profit-seeking enterprise uniform number (or ID card number)			
Security account number			
Deposit account number			

Security information:

Security name	Par value	Note
Total par value		
Transaction price		
Total par value: NT\$		
Transaction price: NT\$		

The above registration (with original registration no.: \_\_\_\_\_) completed on \_\_yy/mm/dd\_ (date) shall be revoked in part in whole, and the pledgor bank shall perform security transfer procedures between the pledgor and the applicant.

Note: The following documents shall be attached according to the respective circumstances when the pledgee executes the pledge rights.

1. Petition for court auction: Documents related to court enforcement of auction shall be attached.
2. Realization by pledgee: Certificates which conform to the proviso to Article 28 of the Enforcement Act of the Part of Obligations of the Civil Code (certified by the notary public, police authorities, communities of commerce, or local autonomous institution, showing that the pledged CGS are sold at market price) shall be attached.
3. On the agreement that the pledgee shall obtain the subject of the pledge: The contract between the pledgor and pledgee shall be attached.
4. Other forms of disposal: The contract between the pledgor and pledgee shall be attached. Where a third party is involved, the relevant contract shall be attached.

Type of payment:

Free-of-payment      Cleared by applicant      Delegate the pledgor bank to transfer funds to the deposit account of the pledgee.

The above revocation application is agreed.

Pledgee:

Original seal impression of deposit account  
(Not required if agreement provided)

Slip 1: Check slip (kept by clearing bank)

Applicant's (transferee's) signature:

Tel:

**Certificate of Exercise of Pledge of Book-Entry CGS**

Date:

Serial number:  
Unit: NT\$

Registration information:

Item	Pledgor	Pledgee	Applicant
Clearing bank			
Account name			
Profit-seeking enterprise uniform number (or ID card number)			
Security account number			
Deposit account number			

Security information:

Security name	Par value	Note
Total par value		
Transaction price		
Total par value: NT\$		
Transaction price: NT\$		

The above registration (with original registration no.: \_\_\_\_\_) completed on yy/mm/dd\_ (date) has been revoked in part in whole, and shall be performed with security transfer procedures between the pledgor and the applicant by the pledgor bank.

Bank:

- Slip 2: Notice slip (given to the pledgee by customer)
- Slip 3: Verification slip (kept by customer)

**Notice of Revocation of  
Public Guarantee Reserves Deposit  
Registration with Ownership Transfer**

Date:

Serial number:

Unit: NT\$

Registration information:

Item	Provider (depositor)	Recipient	Applicant
Clearing bank			
Account name			
Profit-seeking enterprise uniform number (or ID card number)			
Security account number			

Security information:

Security name	Amount	Note
Total Amount		
Total amount: NT\$		

The above registration (with original registration no.: \_\_\_\_\_) completed on \_yy/mm/dd\_ (date) shall be revoked in part in whole, and shall be performed with security transfer procedures between the guarantee provider (reserves depositor) and the applicant by the guarantee provider bank (reserves depositor bank).

The above revocation application is agreed.

Recipient:

Original seal impression of  
securities account

(not required if agreement provided)

Applicant's

( transferee's ) Signature:

Tel.:

Slip 1: Check slip (kept by clearing bank)

**Certificate of Revocation of  
Public Guarantee Reserves Deposit  
Registration With Ownership Transfer**

Date:

Serial number:

Registration information:

Unit: NT\$

Item	Provider (depositor)	Recipient	Applicant
Clearing bank			
Account name			
Profit-seeking enterprise uniform number (or ID card number)			
Security account number			

Security information:

Security name	Amount	Note
Total Amount		
Total amount: NT\$		

The above registration (with original registration no.: \_\_\_\_\_) completed on \_\_yy/mm/dd (date) shall be revoked in part in whole, and shall be performed with security transfer procedures between the guarantee provider (reserves depositor) and the applicant by the guarantee provider bank (reserves depositor bank).

Bank:

Slip 2: Notice slip (given to the recipient by customer)

Slip 3: Verification slip (kept by customer)

## Application for Bond Stripping

Date:

Filled by the applicant	Account Name:											
	Profit-Seeking Enterprise Uniform Number											
	Clearing Bank											
	Book-Entry Securities Account Number											
	Centralized Depository Account Number		Securities Firms Code.					Serial No.				

1. Interest-Bearing Government Bonds:						
Issue	Security Code	Coupon Rate	Maturity Date	Par Value	*Interest Income Prior to Stripping	Tax Withheld
				NT\$	NT\$	NT\$
2. STRIPS						
Item	Issue Code	Par Value				
Principal STRIPS						
Coupon STRIPS ( Series )	from _____ to _____	NT\$__ Per Series X _____ Number of Series = NT\$_____				
Total		\$				

\*Note: Interest income prior to stripping shall mean the interest income accrued from the issue date of the interest-bearing government bond (or preceding repayment date) to the date of the application for stripping.

Please strip the above interest-bearing bonds into Principal STRIPS and Coupon STRIPS, and transfer them into the centralized depository account designated by the applicant through the Taiwan Depository & Clearing Corporation.

To:

**Your Bank**

Applicant::

Tel. No.:

Original Seal Impression of the Securities Account:

Slip 1: Check slip (kept by the clearing bank)

### Certificate for Bond Stripping

Date:

Filled by the applicant	Account Name:											
	Profit-Seeking Enterprise Uniform Number											
	Clearing Bank											
	Book-Entry Securities Account Number											
	Centralized Depository Account Number		Securities Firms Code					Serial No.				

<b>1. Interest-Bearing Government Bonds:</b>						
Issue	Securities Code	Coupon Rate	Maturity Date	Par Value	*Interest Income Prior to Stripping	Tax Withheld
				NT\$	NT\$	NT\$
<b>2. STRIPS</b>						
Item	Issue Code	Par Value				
Principal STRIPS						
Coupon STRIPS (Series)	_____ to _____	NT\$__ Per Series X _____ Number of Series = NT\$_____				
Total		\$				

\*Note: Interest income prior to stripping shall mean the interest income accrued from the issue date of the interest bearing government bond (or preceding repayment date) to the date of the application for stripping.

This bank has stripped the above interest-bearing bonds into Principal STRIPS and Coupon STRIPS, and transferred them into the centralized depository account designated by the Taiwan Depository & Clearing Corporation.

Bank:

Slip 2: Notice slip (given to the GreTai Securities Market by the customer).  
Slip 3: Certificate slip (kept by the applicant)



Form 20

## Application for Exchange of Central Government Exchangeable Bonds for Government Held Stocks/Redemption at Holders' option of Central Government Exchangeable Bonds

Date:

Filled by the applicant	Account Name		Issue code												
	Uniform Number		Amount Applied for Exchange			Billion			Million			Thousand			Dollar
	Security Account No.														
	Cash Account No.		Centralized Depository Account No.	Securities Firms Code					Serial No.						

The applicant acknowledges the relevant announcements in relation to the issuance and exchange of central government exchangeable bonds of \_\_\_\_\_ fiscal year \_\_\_\_\_<sup>th</sup> issue, and agrees to apply to your bank to perform, in accordance with the content of this application form, the item picked below.

(please check in the \_\_\_\_\_ for the item which is intended to be handled)

1. \_\_\_\_\_ application for exchange: Shares of \_\_\_\_\_ (company) stock to be exchanged for \_\_\_\_\_ as listed in (3) shall be transferred by the Taiwan Depository & Clearing Corporation (TDCC) into the centralized depository account listed above; as cash payment for odd shares, please transfer to the cash account listed above.

Fill by the Clearing Bank	Amount applied for exchanged (1)	
	Exchange price (2)	dollar
	Common stocks exchanged (3)=(1)/(2) (whole numbers only)	dollar
	Cash payment for odd shares (4)=(1)-(2) x (3)	shares
		dollar

2. \_\_\_\_\_ application for redemption at holders' option: Please transfer the funds to the cash account listed above.

To protect the rights of the applicant, the applicant must check the information filled out and confirm with the clearing bank.

To:  
Bank

Applicant:  
Tel No:  
Address:

Slip1: Check slip (kept by the clearing bank)

Slip 2: Receipt slip (kept by the applicant)

## Application for Central Government Bond Sellback Registrations

Date

Security code:

Security name:

The number of buyback: \_\_\_\_\_th buyback

Serial number:

Unit: NT\$

Security Account number	Account name	Par value of sellback	Total amount of sellback (1)	Original cost / clean price (2)	Total interest / accrued interest (3) = (1) - (2)	Accumulated tax deductible (4)	Receivables after tax (5)=(1)-(4)
Total							
Total par value of reverse buyback: NT\$							
Total receivables after tax: NT\$							

Please transfer the above receivables after tax into the deposit account of each repurchaser after the total security par value has been received and verified on the buyback date.

To:  
Bank

Name of successful bidder:  
Uniform Business No.:  
Tel No.  
Original seal impression of security account:

### Statement of Sellback of Book-Entry CGBs

Date:

Security code:

Security name:

The number of buyback: \_\_\_\_\_th buyback

Unit: NT\$

Name of bid-winning bills dealer	Uniform number	Serial number	Par value of sellback	Total amount of sellback (1)	Original cost / clean price (2)	Total interest / accrued interest (3) = (1) - (2)
<b>Total</b>						
Total par value of reverse buyback: NT\$						
Total price of reverse buyback: NT\$						

Please deposit the total price of the above resale into this bank's account after the total par value of the security has been received and verified on the date of resale.

To:

Department of the Treasury, Central Bank of the Republic of China (Taiwan)

Name of clearing bank:

Original seal impression of security account:

Form 23

### Memorandum Books

Account number:  
Account name:  
Security type: (government bonds or treasury bills)

Date produced: 999/99/99  
Page:  
Unit: NT\$1,000

Date	Security code	Abstract	Counterparty	Out-transferred par value	In-transferred par value	Balance	Restricted out-transfer balance	Available balance	Restricted in-transfer balance	Notes

Clerk:

Inspector:

Accountant:

Supervisor:

### Trading of Book-Entry CGS

Date:

Date produced : 999/99/99

Time produced : 99:99:99

Pages: 99

Unit: NT\$1,000

Produced by

Bank

Security type: (government bonds or treasury bills)

Transaction type	Issue code	Transfer registration				Lodgment registration				Return registration			
		Outward		Inward		Outward		Inward		Outward		Inward	
		Number 1	Amount (1)	Number 2	Amount (2)	Number 3	Amount (3)	Number 4	Amount (4)	Number 5	Amount (5)	Number 6	Amount (6)
Intra-bank	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Subtotal	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
Interbank	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Subtotal	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Total	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999

## Trading of Book-Entry CGS (continued 1)

Date:

Date produced : 999/99/99

Time produced : 99:99:99

Pages: 99

Unit: NT\$1,000

Produced by

Bank

Security type: (government bonds or treasury bills)

Transaction type	Issue code	Stripping				Reconstitution				Restricted Outward Transaction			
		Outward		Inward		Outward		Inward		Registration		Revocation	
		Number ㉚	Amount (7)	Number ㉛	Amount (8)	Number ㉜	Amount (9)	Number ㉝	Amount (10)	Number A	Amount a	Number B	Amount b
Intra-bank	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Subtotal	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
Interbank	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Subtotal	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Total	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999

## Trading of Book-Entry CGS (continued 2)

Date:    Date

produced : 999/99/99

Time produced : 99:99:99

Pages: 99

Unit: NT\$1,000

Produced by

Bank

Security type: (government bonds or treasury bills)

Transaction type	Issue code	Restricted Inward Transfer				RP/RS				Other Earmark Transactions			
		Registration		Revocation		Issuance		Revocation		Earmark		Revocation of earmark	
		Number C	Amount c	Number D	Amount d	Number E	Amount e	Number F	Amount f	Number G	Amount g	Number H	Amount h
Intra-bank	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Subtotal	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
Interbank	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999								
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999								
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999								
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999								
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999								
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999								
	Subtotal	999,999	99,999,999,999	999,999	99,999,999,999								

Total number of intra-bank registrations: 1 + 2 + 3 + 4 + 5 + 6 + ⑦ + ⑧ + ⑨ + ⑩ + A+B+C+D+E+F+G+H=999,999

Total number of inter-bank registrations: 1 + 2 + 3 + 4 + 5 + 6 + ⑦ + ⑧ + ⑨ + ⑩ + A+B+C+D=999,999

Total number of intra-bank and interbank registrations: 999,999

Total amount of intra-bank registrations: (1)+(2)+(3)+(4)+(5)+(6)+(7)+(8)+(9)+(10)+a+b+c+d+e+f+g+h=99,999,999,999

Total amount of inter-bank registrations: (1)+(2)+(3)+(4)+(5)+(6)+(7)+(8)+(9)+(10)+a+b+c+d =99,999,999,999

Total amount of intra-bank and interbank registrations: 99,999,999,999

**Trust of Book-Entry CGS**

Date:

Date produced : 999/99/99

Time produced : 99:99:99

Pages: 99

Unit: NT\$1,000

Produced by

Bank

Security type: (government bonds or treasury bills)

Transaction type	Issue code	Establishment of Trust				Termination of Trust			
		Outward		Inward		Outward		Inward	
		Number	Amount	Number	Amount	Number	Amount	Number	Amount
Intra-bank	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Subtotal	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
Interbank	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Subtotal	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Total	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999

**Balance of Book-Entry CGS**

Date:

Date produced: 999/99/99

Pages: 999

Unit: NT\$1,000

Produced by

Bank

Security type: (government bonds or treasury bills)

Issue code	Issue name	Balance of self-owened accounts	Balance of customer accounts	Security balance	Disposable balance	Interbank transferable balance	Accumulated amount for conversion of physical bonds	Exchange Amount of the Month	Accumulated Amount For Sell-back
xxxxxx	x x x x x x x x	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
xxxxxx	x x x x x x x x	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
xxxxxx	x x x x x x x x	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
xxxxxx	x x x x x x x x	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
xxxxxx	x x x x x x x x	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
xxxxxx	x x x x x x x x	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
xxxxxx	x x x x x x x x	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
xxxxxx	x x x x x x x x	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
xxxxxx	x x x x x x x x	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
Total		99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		

**Daily Statement for the Exchange of Exchangeable Bonds**

Date:

Page:

Unit: NT\$

Producing by: \_\_\_\_\_ Bank

Security Code	Security Name	Exchange during Today				Security Balance as of Today	Note
		Exchange Face Value	Exchange Price	No. of Shares Exchanged	Amount payable for odd shares		
total							

Clerk:

Inspector:

Accountant:

Supervisor:

### Daily Statement for Redemption at Holders’/Issuer’s Option of Exchangeable Bonds

Date:

Page:  
Unit: NT\$  
Producing by: \_\_\_\_\_ Bank

Security Code	Security Name	Redemption at Holders’ option		Redemption at Issuer’s Option		Note
		Face Value for Application	Accrued Interest	Face Value	Accrued Interest	
total						

Clerk:

Inspector:

Accountant:

Supervisor:

### Holding Analysis of Exchangeable Bonds

Date:

Page:

Unit: NT\$1,000

Produced by: \_\_\_\_\_ Bank

Issue Code	Financial Institutions	Security Firms	Institutions	Funds	Individuals	Foreign Institutions	Foreign Individuals	Trustees	Others	Total

### Daily Statement for Bond Stripping/Reconstitution

Date:(m/y)

Date Produced:

Page:

Unit: NT\$

Produced by: Bank

Issue Code	Issue	Attached Coupon Series	Amount Stripped	Amount Reconstituted	Unstripped Balance	Stripped Balance	Note
Total							

Note: Stripped balance shall mean the balance of the principal STRIPS.

Clerk:

Inspector:

Accountant:

Supervisor:

### Aggregate Statement of Trading of Book-Entry CGS

Date:  
Date produced: 999/99/99  
Time produced: 99:99:99  
Pages: 99  
Unit: NT\$1,000

Security type: (government bonds or treasury bills)

Registering institution	Transaction type	Transfer registration				Lodgment registration				Return registration			
		Outward		Inward		Outward		Inward		Outward		Inward	
		Number 1	Amount (1)	Number 2	Amount (2)	Number 3	Amount (3)	Number 4	Amount (4)	Number 5	Amount (5)	Number 6	Amount (6)
XXXXXX	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999				
XXXXXX	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999				
XXXXXX	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999				
XXXXXX	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999				
XXXXXX	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999				
XXXXXX	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999				
Total	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999				

## Aggregate Statement of Trading of Book-Entry CGS(continued 1)

Date:  
Date produced: 999/99/99  
Time produced: 99:99:99  
Pages: 99  
Unit: NT\$1,000

Security type: (government bonds or treasury bills)

Registering institution	Transaction type	Stripping				Reconstitution				Restricted Outward Transfer			
		Outward		Inward		Outward		Inward		Registration		Revocation	
		Number ⑦	Amount (7)	Number n ⑧	Amount (8)	Number ⑨	Amount (9)	Number ⑩	Amount (10)	Numb A	Amount a	Number B	Amount b
XXXXXX	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
XXXXXX	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
XXXXXX	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
XXXXXX	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
XXXXXX	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
XXXXXX	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
Total	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999

## Aggregate Statement of Trading of Book-Entry CGS(continued 2)

Date:  
Date produced: 999/99/99  
Time produced: 99:99:99  
Pages: 99  
Unit: NT\$1,000

Security type: (government bonds or treasury bills)

/Registering institution	Transaction type	Restricted Inward Transfer				RP/RS				Other Earmark Transactions			
		Registration		Revocation		Issuance		Revocation		Earmark		Revocation of Earmark	
		Number C	Amount c	Number D	Amount d	Number E	Amount e	Number F	Amount f	Number G	Amount g	Number H	Amount h
XXXXXX	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999								
XXXXXX	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999								
XXXXXX	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999								
XXXXXX	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999								
XXXXXX	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999								
Total	Intra-bank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Interbank	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999

Total number of intra-bank: 1 + 2 + 3 + 4 + 5 + 6 + ⑦ + ⑧ + ⑨ + ⑩ + A+B+C+D+E+F+G+H=999,999

Total number of inter-bank: 1 + 2 + 3 + 4 + 5 + 6 + ⑦ + ⑧ + ⑨ + ⑩ + A+B+C+D=999,999

Total number of intra-bank and interbank registrations: 999,999

Total amount of intra-bank registrations: (1)+(2)+(3)+(4)+(5)+(6)+(7)+(8)+(9)+(10)+a+b+c+d+e+f+g+h=99,999,999,999

Total amount of inter-bank registrations: (1)+(2)+(3)+(4)+(5)+(6)+(7)+(8)+(9)+(10)+a+b+c+d =99,999,999,999

Total amount of intra-bank and inter-bank registrations: 99,999,999,999

Aggregate Statement of **Trust of Book-Entry CGS**

Date:

Date produced : 999/99/99

Time produced : 99:99:99

Pages: 99

Unit: NT\$1,000

Produced by

Bank

Security type: (government bonds or treasury bills)

Transaction type	Issue code	Establishment of Trust				Termination of Trust			
		Outward		Inward		Outward		Inward	
		Number	Amount	Number	Amount	Number	Amount	Number	Amount
Intra-bank	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	subtotal	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
Interbank	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	XXXXXX	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	subtotal	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999
	Total	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999	999,999	99,999,999,999

### Aggregate Statement of Balance of Book-Entry CGS

Date:

Date produced: 999/99/99  
 Time produced: 99:99:99  
 Pages: 999  
 Unit: NT\$1,000

Security type: (government bonds or treasury bills)

Registration institution	Balance of self-owned accounts	Balance of customer accounts	Security balance	Disposable balance	Interbank transferable balance	Accumulated amount for conversion of physical bonds	Exchange Amount of the Month	Accumulated Amount for Sell Back
xxxxxx	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
xxxxxx	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
xxxxxx	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
xxxxxx	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
xxxxxx	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
xxxxxx	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
xxxxxx	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
xxxxxx	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
xxxxxx	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		
<b>Total</b>	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999		

### Aggregate Statement for the Exchange of Exchangeable Bonds

Date:

Security Code:  
 Security Name:  
 Issuing Amount:

Page:  
 Unit: NT\$  
 Producing by: Central Bank of the  
 Republic of China (Taiwan)

Registration Institution	Exchange of Today				Security Balance as of Today	Note
	Exchange Face Value	Exchange Price	No. of Shares Exchanged	Amount payable for odd shares		
Total						

Clerk:

Inspector:

Accountant:

Supervisor:

### Aggregate Statement for Redemption at Holders'/Issuer's Option of Exchangeable Bonds

Security Code:	Record Date of Redemption at Holders'/Issuer's Option:	Date Produced: 999/99/99
Security Name:		Page:
Issuing Amount:	Rate of Return of Redemption at Holders'/Issuer's Option:	Unit: NT\$
		Producing by: Central Bank of the Republic of China (Taiwan)

Registration Institution	Redemption at Holders' option		Redemption at Issuer's Option		Note
	Accumulated Face Value for Applications as of Record Date	Accrued Interest	Face Value on Record Date	Accrued Interest	
<b>total</b>					

Clerk:

Inspector:

Accountant:

Supervisor:

### Aggregate Holding Analysis of Exchangeable Bonds

Date:(m/d/y)

Page:

Unit: NT\$1,000,000

Produced by: Central Bank of the  
Republic of China (Taiwan)

Issue Code	Financial Institutions		Securities Firms		Institutions		Funds		Individuals		Foreign Institutions and Individuals		Trustees		Others		Total	
	Amount	(%)	Amount	(%)	Amount	(%)	Amount	(%)	Amount	(%)	Amount	(%)	Amount	(%)	Amount	(%)	Amount	(%)

### Aggregate Daily Statement for Bond Stripping/Reconstitution

Date:(m/y)

Issue Code:  
 Issue:  
 Attached Coupon Series:  
 Nominal Amount of Issue:

Date Produced:  
 Page:  
 Unit: NT\$  
 Produced by Central Bank of the  
 Republic of China (Taiwan)

Clearing Bank	Amount Stripped	Amount Reconstituted	Unstripped Balance (1)	Stripped Balance (2)	Total (1) + (2)	Note:
Total						Stripping Ratio: 99.99%

Note: Issue amount includes that of the reopened issues; stripped balance shall mean the balance of the principal STRIPS.

Clerk: \_\_\_\_\_ Inspector: \_\_\_\_\_ Accountant: \_\_\_\_\_ Supervisor: \_\_\_\_\_

### Book-Entry CGS Principal and Interest Payment Statement

Date: (m/d/y)

Security type: (government bonds or treasury bills)

Security code:

Pages:

Security name:

Interest rate: % per annum

Principal and interest payment :

Unit: NT\$

\_\_\_\_\_ interest payment, \_\_\_\_\_ repayment of principal

Producing by

Bank

Security account number	Account name	Principal payable (1)	Interest payable (2)	Tax withheld (3)	Total (4) = (1) + (2) + (3)	Transfer into various types of deposit accounts	Note

Paid:

---

Subtotal of payments:

Unpaid:

---

Subtotal of unpaid amounts:

Total:

---

Clerk:

Inspector:

Accountant:

Supervisor:

### Monthly Statement for the Exchange of Exchangeable Bonds

Date:(m/y)

Date produced:

Page:

Unit: NT\$

Producing by: \_\_\_\_\_ Bank

Security Code	Security Name	Exchanges during Month			Security Balance as of the end of this month	Note
		Exchange Face Value	No. of Shares Exchanged	Amount payable for partial shares		
<b>Total</b>						

Clerk:

Inspector:

Accountant:

Supervisor:

### Monthly Statement for Bond Stripping/Reconstitution

Date:(m/y)

Date Produced:  
Page:  
Unit: NT\$  
Produced by            Bank

Issue Code	Issue	Amount Stripped of the Month	Amount Reconstituted of the Month	Unstripped Balance	Stripped Balance	Note
Total						

Note: Stripped balance shall mean the balance of the principal STRIPS.

Clerk:

Inspector:

Accountant:

Supervisor:

### Semiannual Statement of Book-Entry CGS Principal and Interest Payments

Period: \_\_\_\_\_ to \_\_\_\_\_

Date produced:

Pages:

Unit: NT\$

Producing by

Bank

Security type: (government bonds or treasury bills)

Security code	Security name	Period of principal and interest payment	Transfers to fund (1)	Amount of payments/exchanges during month (2)	Cumulative amount of payments / exchanges (3)	Fund balance (4) = (1) - (3)	Note
Total							

Clerk:

Inspector:

Accountant:

Supervisor:

### Holding Analysis of Book-Entry CGS

Date: (m/y)  
 Date produced:  
 Pages:  
 Unit: NT\$1,000  
 Produced by  
 Bank

Security type: (government bonds or treasury bills)

Issue	Financial institutions	Securities firms	Institutions	Funds	Individuals	Foreign Institutions	Foreign individuals	Trustees	Other	Total

### Financial Institutions Holding Analysis of Book-Entry CGS

Date: (m/y)

Date produced:

Pages:

Unit: NT\$1,000

Produced by

Bank

Security type: (government bonds or treasury bills)

Issue	Domestic banks	Foreign banks	Medium business bank	Credit cooperatives	Credit department of farmers' or fishermen's associations	Investment and trust companies	Chunghwa Post Co., Ltd.	Life insurance companies	Property insurance companies	Central Deposit Insurance Company	Bills finance companies	Securities finance companies	Total

**Monthly Statement of Exchangeable Bonds Odd Shares  
 Repayment Fund Balance After Receipts and Payments**

Date:(m/y)

Date produced:    /    /

Page:

Unit: NT\$

Producing by: \_\_\_\_\_ Bank

Security Code	Security Name	Transfers to fund (1)	Amount of payments during month (2)	Fund balance (3)=(1)-(2)	Note
<p>Total:</p>					

Clerk:

Inspector:

Accountant:

Supervisor:

**Monthly Statement of Book-Entry CGS Principal and Interest Payment Fund Balance**

Date: (m/y)

Security type: (government bonds or treasury bills)  
 Security code:  
 Security name:  
 Principal and interest payment period:

Date produced:  
 Pages:  
 Unit: NT\$1,000  
 Producing by Central Bank of the  
 Republic of China (Taiwan)

Registration institution	Transfers to fund (1)	Amount of payments/ exchanges during month (2)	Cumulative amount of payments / exchanges (3)	Fund balance (4) = (1) - (3)	Note
Total					

**Clerk:**

**Inspector:**

**Accountant:**

**Supervisor:**

## Strippable Bonds Disbursement and Collection of Principle and Interest Funds

Date:(m/y)

Date Produced:

Page:

Unit: NT\$

Produced by Central Bank of the

Republic of China (Taiwan)

Issue Code	Issue	Coupon Rate	Series	Stripping Status			Principal and Interest Funds		
				Issuance Amount	Unstripped Balance	Stripped Balance	Collect from Ministry of Finance	Unstripped Portion Paid	STRIPS Portion Paid
Total									

Note:

1. Unstripped balance and "stripped balance" shall mean the "balance of strippable bonds" and the "balance of principal STRIPS" recorded in the book as of the close of business on the business day prior to the repayment date.

This chart shall be printed during the month in which the Ministry of Finance transfers the strippable bonds principle and interest funds.

Clerk:

Inspector:

Accountant:

Supervisor:

### Combined Monthly Statement for the Exchange of Exchangeable Bonds

Date:(m/y)

Security Code:  
 Security Name:  
 Issuing Amount:

Date produced:  
 Page:  
 Unit: NT\$  
 Producing by: Central Bank of the  
 Republic of China (Taiwan)

Registration Institution	Exchange during Month			Security Balance as of the end of this month	Note
	Exchange Face Value	No. of Shares Exchanged	Amount payable for odd shares		
<b>Total:</b>					

**Clerk:**

**Inspector:**

**Accountant:**

**Supervisor:**

**Combined Monthly Statement of Exchangeable Bonds for  
Odd Shares Repayment Fund Balance After Receipts and Payments**

Date:(m/y)

Date produced:

Page:

Unit: NT\$

Producing by: Central Bank of the  
Republic of China (Taiwan)

Security Code:

Security Name:

Registration Institution	Transfers to fund (1)	Amount of Payments during Month (2)	Fund Balance (3)=(1)-(2)	Note
Total:				

Clerk:

Inspector:

Accountant:

Supervisor:

### Aggregate Monthly Statement for Bond Stripping/Reconstitution

Date:(m/y)

Issue Code:  
 Issue:  
 Attached Coupon Series:  
 Nominal Amount of Issue:

Date Produced:  
 Page:  
 Unit: NT\$  
 Produced by Central Bank of the  
 Republic of China (Taiwan)

Clearing Bank	Amount Stripped of the Month	Amount Reconstituted of the Month	Unstripped Balance (1)	Stripped Balance (2)	Total (1) + (2)	Note
Total						Stripping Ratio: 99.99%

Note: Issue amount includes that of the reopened issues; stripped balance shall mean the balance of the principal STRIPS.

Clerk: \_\_\_\_\_ Inspector: \_\_\_\_\_ Accountant: \_\_\_\_\_ Supervisor: \_\_\_\_\_

### Aggregate Holding Analysis of Book-Entry CGS

Date: (m/y)

Date produced:

Pages:

Unit: NT\$1 million

Produced by Central Bank of the  
Republic of China (Taiwan)

Security type: (government bonds or treasury bills)

End of fiscal year or month	Financial institutions													
	The Central Bank of China		Domestic banks		Foreign banks		Medium business banks		Credit cooperatives		Credit departments of farmers' or fishermen's association		Investment and trust companies	
	Amount	%	Amount	%	Amount	%	Amount	%	Amount	%	Amount	%	Amount	%

### Aggregate Holding Analysis of Book-Entry CGS (continued 1)

Date: (m/y)

Date produced:

Pages:

Unit: NT\$1 million

Produced by Central Bank of the  
Republic of China (Taiwan)

Security type: (government bonds or treasury bills)

End of fiscal year or month	Financial institution													
	Chunghwa Post Co., Ltd.		Life insurance companies		Property insurance companies		Central Deposit Insurance Company		Bills finance companies		Securities finance companies		Subtotal	
	Amount	%	Amount	%	Amount	%	Amount	%	Amount	%	Amount	%	Amount	%

## Aggregate Holding Analysis of Book-Entry CGS (continued 2)

Date: (m/y)  
 Date produced:  
 Pages:  
 Unit: NT\$1 million  
 Produced by Central Bank of the  
 Republic of China (Taiwan)

Security type: (government bonds or treasury bills)

End of fiscal year or month	Securities firms		Institutions		Funds		Individuals		Foreign Institutions and individuals		Trustee		Others		Total	
	Amount	%	Amount	%	Amount	%	Amount	%	Amount	%	Amount	%	Amount	%	Amount	%

### Semiannual Statement of Book-Entry CGS Principal and Interest Payments

Period: \_\_\_\_ to \_\_\_\_

Date produced:

Page:

Unit: NT\$

Producing by:

Bank

Security Type (government bonds or treasury bills)

Security code	Security code	Period of principal and interest payment	Transfers to fund (1)	Amount of Payments/exchanges during month (2)	Cumulative amount of Payments/exchanges (3)	Fund balance (4)=(1)-(3)	Note
Total:							

**Clerk:**

**Inspector:**

**Accountant:**

**Supervisor:**

Form 48(1)

### Comprehensive Semi-annual Principal and Interest Payment Settlement Statement – by Security

Period: \_\_\_\_\_ to \_\_\_\_\_

Production Date:  
Page:  
Unit: NT\$  
Produced by: Central Bank of  
the Republic of China (Taiwan)

Security type: (government bonds or treasury bills)

Security code	Security name	Period of principal and interest payment	Registration institution	Transfers to fund (1)	Number of payments/exchanges during period (2)	Cumulative number of payments/exchanges (3)	Fund balance (4) = (1) - (3)	Service charge payable	Note
Total									

Clerk:

Inspector:

Accountant:

Supervisor:

## Comprehensive Semi-annual Principal and Interest Payment Settlement Statement – by Bank

Period: \_\_\_\_\_ to \_\_\_\_\_

Production Date:  
Page:  
Unit: NT\$  
Produced by: Central Bank of  
the Republic of China (Taiwan)

Security type: (government bonds or treasury bills)

Registration institution	Security code	Security name	Period of principal and interest payment	Transfers to fund (1)	Number of payments/exchanges during period (2)	Cumulative number of payments/exchanges (3)	Fund balance (4) = (1) - (3)	Service charge payable	Note
Total									

Clerk:

Inspector:

Accountant:

Supervisor:

## Fees for Book-Entry CGS Interbank Transfer

Unit: NT\$

Increment	Central Bank of the Republic of China (Taiwan) transfer fee per transaction	Transferor bank transfer fee per transaction	Total
\$10,000,000 or less	30	80	110
\$10,000,001 ~ \$20,000,000	50	120	170
\$20,000,0001 ~ \$30,000,000	70	160	230
\$30,000,001 ~ \$40,000,000	90	200	290
More than \$40,000,001	110	240	350

Interbank transfers (transfer registration, restricted transfer registration, lodgment registration, exchange for government-held stocks, and bond stripping or bond reconstitution):

1. The transferor bank shall charge a fee of NT\$110 for a transfer NT\$10,000,000 or less from the transferor, of which Central Bank of the Republic of China (Taiwan) (CBC) shall charge NT\$30 and the transferor bank NT\$80.
2. In the case of the amount to be transferred is greater than NT\$10,000,000, the transferor bank shall charge an additional NT\$60 for every additional increment of NT\$10,000,000, of which the CBC shall charge NT\$20 and the transferor bank NT\$40. An increment of less than NT\$10,000,000 shall be treated as to be NT\$10,000,000.
3. The transfer fee for each transaction shall not exceed NT\$350.
4. Free of Charge:
  - (1) Original purchases
  - (2) Return transactions
  - (3) Revocation of restricted transfer registration, exercise of pledge, and transfer-type revocation of public guarantee registration or depositing reserves registration
  - (4) Sale of securities by Department of Banking for open market operations
  - (5) Buybacks.
  - (6) Return registration
  - (7) Exchange of government held stocks.