

Application for Open Market Operations Online Network Operation System

Name of applicant:

Date of application:

Uniform Business No.						
Address						
Authorized officer	Name	Job Title	Employer	Telephone	Fax	E-mail
Contact person	Name	Job Title	Employer	Telephone	Fax	E-mail

The applicant hereby applies to participate in the Central Bank of the Republic of China's (Taiwan) online network operation system for open market operations out of business needs and agrees to abide by the "Online Network Operation Directions for the Central Bank of the Republic of China's (Taiwan) Open Market Operations", "the Government Certification Authority Certificate Practice Statement" and other applicable rules and regulations, and agrees to use digital signature and electronic documents.

To:

The Department of Banking, the Central Bank of the Republic of China (Taiwan)

Applicant:

Responsible person:

(Affixed corporate seal and signature/seal of the responsible person)

Certificate data for Open Market Operations Online Network Unit

Filing date: (yy) (mm) (dd)

Unit ID (first four digits of system ID)	B
Unit name	
Uniform Business No.	
IC Card No. /card issue date	/
Spare IC Card No. /card issue date	/
Address	
Name of contact person	
Telephone of contact person	
Fax of contact person	
E-mail of contact person	
Corporate seal of online unit	

Certificate Revocation Data for Open Market Operations Online
Network Unit

Date of revocation: (yy) (mm) (dd)

Unit ID (first four digits of system ID)	B
Unit name	
Uniform Business No.	
IC Card No.	
Address	
Name of contact person	
Telephone of contact person	
Fax of contact person	
E-mail of contact person	
Corporate seal of online unit	

Contact Information Amendment for Open Market Operations
Online Network Unit

Name of online unit:

Date of application:

Information of contact person	
Name	
E-mail	
Telephone	
Fax	
Signature/Seal of contact person	

Form 5

Subscription for Certificates of Deposit (NCD/ CD) of CBC

___ (yy) ___ (mm) ___ (dd)

Name of financial institution: _____

Financial institution ID: _____

(Financial institution ID is the account number of its deposit account opened at the Department of Banking)

Tenor of NCD/CD	Type of NCD/CD	Amount of subscription (100 million NTD)	Remark

Contact person: _____

Telephone: _____

(Affixed with the original seal of record)

Instructions:

1. Subscription of NCD/CDs of the same tenor is limited to single transaction only. The amount of each subscription must be the multiple of NT\$5 million.
2. Please fax this form to Open Market Operation Section, the Department of Banking, the Central Bank of the Republic of China (Taiwan) and then call for confirmation.(Fax.2357-1955 or 2357-1954; Tel.2357-1373 or 2357-1384)
3. After receiving the Bank's notice on the approved purchase amount, please fill out form 5-1 and submit it to Deposit Section, the Department of Banking, the Central Bank of the Republic of China (Taiwan) for settlement operation.

Confirmation for Certificates of Deposit (NCD/ CD) of CBC

____ (yy) ____ (mm) ____ (dd)

☐ days
☐ months
☐ years
 ☐ NCD
☐ CD (at annual rate of _____%) to be issued by
 the Central Bank of the Republic of China (Taiwan) on ____ (yy)____ (mm) ____ (dd) for the total
 face value of NT\$ _____ million (in capital letters) .

To:

The Department of Banking, the Central Bank of the Republic of China (Taiwan)

From:

Financial institution:

Contact person:

Telephone:

(Affixed with the original seal of record)

Instructions:

1. The financial institution shall make payment in accordance with Direction 10 of the “Directions for Open Market Operations by the Central Bank of the Republic of China (Taiwan)”.
2. Please fax this form to Deposit Section, the Department of Banking, the Central Bank of the Republic of China (Taiwan) and then call for confirmation, and deliver this form to the Bank before 10:30AM on the next business day (Fax.2357-1951; Tel.2357-1346 or 2357-1348).

Auction for Certificates of Deposit (NCD/CD) of CBC

___ (yy) ___ (mm) ___ (dd)

☐ days ☐ NCD
☐ months ☐ CD to be issued
☐ years

We hereby offer the following terms and conditions for _____ by the Central Bank on ___ (yy) ___ (mm) ___ (dd) and will carry out all formalities in accordance with the rules announced by your Department as well as provisions prescribed in the Directions for Open Market Operations of the Central Bank of the Republic of China (Taiwan).

Financial institution ID: _____

(Financial institution ID is the account number of its deposit account opened at the Department of Banking)

Terms and conditions:

Bid (Limited to 5 bids)	Bidding rate (Up to the third place the decimal point)	Bid amount
(1)	Annual rate □□.□□□ %	NT\$ _____ million
(2)	Annual rate □□.□□□ %	NT\$ _____ million
(3)	Annual rate □□.□□□ %	NT\$ _____ million
(4)	Annual rate □□.□□□ %	NT\$ _____ million
(5)	Annual rate □□.□□□ %	NT\$ _____ million

To:

The Department of Banking, Central Bank of the Republic of China (Taiwan)

From:

Name of Financial Institution:

Contact person:

Telephone:

(Affixed with the original seal of record)

Instructions:

1. This auction form will be accepted subject to consent of the Bank only if the online network operation system for open market operations malfunctions or the network connection is interrupted and the original auction form is delivered sealed.
2. A maximum of five bids for NCD/CD of the same tenor is allowed; the total amount of bid for NCD/CD of the same tenor shall not exceed the total issue amount and shall be the multiple of NT\$5 million.
3. This auction form is invalid if the bidding rate and/or amount is altered or the form is not affixed with the original seal of record.
4. The financial institution shall make payment in accordance with Direction 10 of the "Directions for Open Market Operations by the Central Bank of the Republic of China (Taiwan)".