

Table 1 Matters to be Verified by Banking Enterprises Handling the Declaration of Foreign Exchange Settlements Relating to Direct Investments

Items of settlements	Documents to be verified	Declarant
I. Direct investments by foreign nationals and overseas Chinese, appropriated working capital in Taiwan, or Loan Investments		
(i) 1. Inward remittances Direct investments by foreign nationals and overseas Chinese	Investment approval documents of the Ministry of Economic Affairs (MOEA) or Science-Based Industrial Park Administration	Investors (limited to individuals), invested enterprises (or preparatory offices) or domestic agents approved by MOEA or Science-Based Industrial Park Administration, or domestic transferors
2. Appropriated working capital in Taiwan	Letters of the Commerce Department, MOEA requesting the applicant to present the notification of inward remittance and Foreign Exchange Purchase Memo, or recognition notice bearing the Commerce Department's receipt seal (Taiwan branches of foreign banks or Taiwan subsidiaries of foreign insurance companies may provide approval documents of the Financial Supervisory Commission, Executive Yuan ("FSC") instead)	Foreign firms' subsidiaries in Taiwan (or preparatory offices) or domestic agents approved by the Commerce Department, MOEA or the FSC.
3. Loan Investments	Loan investment approval documents of MOEA or Science-Based Industrial Park Administration	Investors (limited to individuals), invested enterprises, or domestic agents approved by the MOEA or Science-Based Industrial Park Administration
(ii) 1. Outward remittance Transference, partial or complete withdrawal of direct investment by foreign nationals and overseas Chinese	(1) Transference or partial withdrawal: Approval documents of the MOEA or Science-Based Industrial Park Administration	Investors (limited to individuals), invested enterprises, or domestic agents approved by the MOEA or

		Science-Based Industrial Park Administration, or domestic transferees
	(2) Complete withdrawal: Approval letter of MOEA or Science-Based Industrial Park Administration, the court judgment of completion of liquidation procedure (may be replaced by minutes of stockholders' meeting, investors' liquidation allocation report, and tax payment documents issued by the tax authorities)	Liquidators, domestic agents approved by MOEA or Science-Based Industrial Park Administration
2. Partial or complete withdrawal of appropriated working capital in Taiwan	(1) Partial withdrawal: Approval letter of the Commerce Department, MOEA (Taiwan branches of foreign banks or Taiwan subsidiaries of foreign insurance companies may provide the relevant approval documents of the FSC instead)	Foreign firms' subsidiaries in Taiwan or domestic agents approved by Commerce Department, MOEA or the FSC
	(2) Complete withdrawal: Approval letter of the Commerce Department, MOEA (Taiwan branches of foreign banks or Taiwan subsidiaries of foreign insurance companies may provide the relevant approval documents of the FSC instead), the court judgment of completion of liquidation procedures	Liquidators, domestic agents approved by Commerce Department of the MOEA or FSC
3. Repayment of principals and interests of Loan investments	Audited loan investments documents of MOEA or Science-Based Industrial Park Administration and documents for declaring external debt with the Department of Foreign Exchange of the Central Bank (the "Department")	Invested enterprises or domestic agents approved by MOEA or Science-Based Industrial Park Administration

II. Dividends or profits of direct investments by foreign nationals and overseas Chinese		
(i) Outward remittances of dividends of direct investments by foreign nationals and overseas Chinese	Original investment approval documents of the MOEA or Science-Based Industrial Park Administration, minutes of stockholders meeting, profit allocation table, and dividend calculation form	Investors (limited to individuals), Invested enterprises, or domestic agents approved by the MOEA or Science-Based Industrial Park Administration
(ii) Outward remittances of profits of Taiwan branches of foreign banks or Taiwan subsidiaries of foreign insurance companies	Branch's or subsidiary's recognition documents, financial statements and tax return documents bearing the seal of the tax authorities of the most recent year, or the most recent annual financial statements audited by certified public accountants	Taiwan branches of foreign banks, Taiwan subsidiaries of foreign insurance companies, or domestic agents approved by the FSC
(iii) Outward remittances of profits of Taiwan subsidiaries of foreign firms	Subsidiary's recognition documents, financial statements and tax return documents bearing the seal of the tax authorities of the most recent year, or the most recent annual financial statements audited by Certified Public Accountants	Taiwan subsidiaries of foreign firms, domestic agents approved by the Commerce Department of the MOEA
III. Inward remittances of tax payments by Foreign Nationals and Overseas Chinese	Original investment approval documents of MOEA or Science-Based Industrial Park Administration and the relevant accrued tax payment documents	Investors (limited to individuals), Invested enterprises, or domestic agents approved by the MOEA or Science-Based Industrial Park Administration
IV. Investments in third areas (including indirect investments in Mainland China through a third-area company):		
(i) 1. Outward remittances Overseas direct investments	Overseas direct investment approval documents or Mainland China Area investment certificate issued by the MOEA	Investors
2. Outward remittances of	Overseas direct investment	Investors

direct investments made by banking or insurance institutions for investment in branches or subsidiaries in Macao or Hong Kong	approval documents of the FSC	
(ii) Inward remittances Funds of transference, partial or complete withdrawal of overseas direct investments	Approval documents of MOEA or FSC for transference, partial or complete withdrawal, or original Foreign Exchange Sale Memo	Investors
V. Inward remittances of dividends or profits from investments in third areas (including indirect investments in Mainland China through a third-area company)	Document evidencing the original overseas investment approval (report) by (to) the MOEA or the FSC and documents relevant to the distribution of dividends (profits) by the invested enterprise.	Investors

Notes:

1. Banking enterprises shall annotate the settlement amount and date and affix their seals to the original investment approval letters of the competent authorities when engaging in the above-mentioned foreign exchange settlements.
2. Individual investments in a third area: the outward remittances of such investments may not exceed the annual aggregate settlement amount set by the Bank.
3. Direct investments in Mainland China Area: Follow the provisions of Direction 26 and Table 10.
4. Inward and outward remittances relating to investment in Mainland China through a company in a third area must go through the third area. Direct remittance to Mainland China is not allowed.

Table 2 Matters to be Verified by Banking Enterprises Handling the Declaration of foreign Exchange Settlements Relating to Domestic Securities Investments by Offshore Foreign Institutional Investors

Item of settlements	Documents to be verified	Declarant
I. Outward or inward remittances of principal	1. A foreign Institutional Investor must provide one of the following documents: <ol style="list-style-type: none"> i. Original investment approval letters issued by the competent securities authority; or ii. Investment approval letters issued by the Taiwan Stock Exchange Corporation (“TSEC”); or iii. Investment registration documents from the TSEC to prove; or iv. Documents from the TSEC to prove that the qualified foreign institutional investor (QFII) has completed the sub-account registration. 2. For investment principal inwardly remitted from a “foreign currency deposit exclusive account for futures trading” maintained by a foreign institutional investor, documents evidencing securities settlement shall be provided.	Agents or representatives
II. Outward remittances of investment profits	Apart from the above mentioned documents, banking enterprises shall verify the tax authorities’ approval letter for authorizing an agent or a representative to file tax returns and make tax payments, or the income tax payment certificate, or “evidentiary documents from the agent or representative to prove that the remitted profits are free of tax.	Agents or representatives

Note:

When the income tax payment certificate mentioned in item II is used to settle foreign exchange relating to investment profits, banking enterprises shall ensure that the total settlement amount does not exceed the net payment stated on the tax withholding certificate issued by the local tax authorities, or the total value of transferred shares stated on a deferred stock transfer income report certificate. The settlement amount shall be noted on the certificate, or evidentiary documents with a seal affixed, and the documents returned to the declarant.

Table 3 Matters to be Verified by Banking Enterprises Handling the Declaration of Foreign Exchange Settlements Relating to Domestic Securities Investments by Offshore Foreign Individual Investors

Item of settlements	Documents to be verified	Declarant
I. Outward or inward remittances of principal	1. A Foreign Individual Investor must provide the investment approval letter issued by the Taiwan Stock Exchange Corporation (“TSEC”) or the documents from the TSEC to prove the registration of the investment. 2. For investment principal inwardly remitted from a “foreign currency deposit exclusive account for futures trading” maintained by a foreign individual investor, documents evidencing securities settlement shall be provided.	Agents or representatives
II. Outward remittances of investment profits	Apart from the above mentioned documents, banking enterprises shall verify the tax authorities’ approval letter for authorizing an agent or a representative to file tax returns and make tax payments, or the income tax certificate, or evidentiary documents from the agent or representative to prove the profits being remitted are free of tax.	Agents or representatives

Note:

When the income tax payment certificate mentioned in item II is used to settle **foreign** exchange **related to** investment profits, banking enterprises shall ensure that the total settlement amount does not exceed the net payment stated on the tax withholding certificate issued by the local tax authorities, or the total value of transferred shares stated on a deferred stock transfer income report certificate. The settlement amount shall be noted on the certificate or evidencing documents with a seal affixed, and the documents **returned** to the declarant.

Table 4 Matters to be Verified by Banking Enterprises Handling the Declaration of Foreign Exchange Settlements by Securities Investment Trust Enterprises and Futures Trust Enterprises

Item of settlements	Documents to be verified	Declarants
<p>I. With respect to securities investment trust (private placement) funds established by securities investment trust enterprises for engaging in foreign portfolio investments and trading of foreign securities-related products: Outward remittances of the domestically raised (privately placed) funds, and inward remittances of the repayment of principal and investment profits.</p>	<ol style="list-style-type: none"> 1. Original letter of approval of the securities authorities or original letter of the securities authorities for private placement funds and a letter of consent of the Bank's Department of Foreign Exchange. 2. "Statement of Flow of Funds of Foreign Portfolio Investments by Securities Investment Trust Funds" with seal affixed by the securities investment trust enterprises (banking enterprises shall annotate the settlement amount and affix their seal on the statement). 3. With respect to investment profits, banking enterprises shall verify documents with relevant calculations. 	<p>The securities investment trust enterprises</p>
<p>II. With respect to principal guaranteed funds established by domestic securities investment trust enterprises for engaging in foreign portfolio related investment: Outward and inward remittances of funds investing in overseas derivatives products.</p>	<p>The original letters of approval issued by competent securities authorities (Banking enterprises shall annotate the settled amount and affix their seal).</p>	<p>The securities investment trust enterprises</p>
<p>III. With respect to securities investment trust (private placement) funds established abroad by securities investment trust enterprises for engaging in domestic portfolio investments: Inward remittances of trust (private placement) funds raised abroad and outward remittances of redemption and dividends.</p>	<ol style="list-style-type: none"> 1. Original letter of approval of the securities authorities, or original certified letter of the securities authorities for private placement funds and letter of consent of the Bank's Department of Foreign Exchange. 2. "The Statement of Flow of Funds of Domestic Portfolio Investments by Securities Investment Trust Funds" with seal affixed by the securities investment trust enterprises (banking enterprises shall annotate the settlement amount and affix their seal on the statement). 3. With respect to dividends, 	<p>The securities investment trust enterprises</p>

	banking enterprises shall verify documents with relevant calculation.	
IV. With respect to securities investment trust funds established by futures trust enterprises for engaging in foreign investments: Outward remittances of the domestically raised, and inward remittances of the repayment of principal and investment profits.	<ol style="list-style-type: none"> 1. Original letter of approval of the securities authorities. 2. "Statement of Flow of Funds of Foreign Investment by Futures Trust Funds" with seal affixed by the futures trust enterprise (banking enterprises shall annotate the settlement amount and affix their seal on the statement). 3. With respect to investment profits, banking enterprises shall verify documents with relevant calculations. 	Futures trust enterprises
V. With respect to securities investment trust funds established abroad by futures trust enterprises for engaging in domestic o investments: Inward remittances of trust funds raised abroad and outward remittances of redemption and dividends.	<ol style="list-style-type: none"> 4. Original letter of approval of the securities authorities. 5. "Statement of Flow of Funds of Foreign Investment by Futures Trust Funds" with seal affixed by the futures trust enterprise (banking enterprises shall annotate the settlement amount and affix their seal on the statement). 6. 3. With respect to investment profits, banking enterprises shall verify documents with relevant calculations. 	Futures trust enterprises

Note: Inward and outward remittances from and to Mainland China Area shall follow in addition the provisions of Direction 26 and Table 10.

Table 5 Matters to be Verified by Banking Enterprises Handling the Declaration of Foreign Exchange Settlements Relating to Overseas Corporate Convertible Bonds (Financial Debentures)

Item of settlements	Documents to be verified	Declarant
I. Inward remittances of funds raised to be converted into NT dollar	<ol style="list-style-type: none"> 1. Original letter of approval of the securities authorities (not required for privately placed funds). 2. Letter of consent of the Bank's Department of Foreign Exchange (banking enterprises shall annotate the settlement amount and affix their seal on the letter). 3. Credit advice of funds raised offshore or evidentiary documents of funds while the warrants are exercised. 	Issuers
II. Advance redemption or repayment of principal and interest payment	<ol style="list-style-type: none"> 1. Original letter of approval of the securities authorities (the letter of consent of the Bank's Department of Foreign Exchange for private placement cases). 2. Evidentiary Documents of declaring external debts with the Department of Foreign Exchange of the Bank 3. "Table of Changes in the Outstanding Balance of Overseas Corporate Convertible Bonds (Financial Debentures). 	Issuers

Notes:

When engaging in exchange settlements prescribed in item II, the banking enterprises shall note the settlement amount and date on and affix their seals to the "Table of Changes in the Outstanding Balance of Overseas Corporate Convertible Bonds (financial debentures)".

Table 6 Matters to be Verified by Banking Enterprises Handling the Declaration of Foreign Exchange Settlements Relating to Bonds Denominated in NT Dollars Issued by Foreigners

Item of settlements	Documents to be verified	Declarant
I. Outward remittances of funds raised	<ol style="list-style-type: none"> 1. Original letter of approval of the securities authorities on which the banking enterprises shall annotate the settlement amount and date and affix their seals. 2. Letter of consent of the Bank's Department of Foreign Exchange (banking enterprises shall annotate the settlement amount and affix their seal on the letter). 3. Verification of receipt of full amount of funds by financial institutions. 	Lead underwriter
II. Advance redemption	<ol style="list-style-type: none"> 1. Original letter of approval of the securities authorities. 2. Documents evidencing the authorization of the agent for payment. 3. "Table of Changes in the Outstanding Balance of the Bonds" on which banking enterprises shall annotate the settlement amount and date and affix their seals. 4. Instructions for redemption by bond holders or issuers. 	Agents for payment
III. Repayment of principal and interest payment	<ol style="list-style-type: none"> 1. Original letter of approval of the securities authorities. 2. Documents evidencing the authorization of the agent for payment. 3. "Table of Changes in the Outstanding Balance of the Bonds" on which banking enterprises shall annotate the settlement amount and date and affix their seals. 4. Notification of repayment of principal and interest payment by the foreign issuer. 	Agents for payment

Table 7 Matters to be Verified by Banking Enterprises Handling the Declaration of Foreign Exchange Settlements Relating to Global Depository Receipts (GDR)

Item of settlements	Documents to be verified	Declarant
I. Inward remittances of funds raised through new issues (or issuance of new shares for cash) to be converted into NT dollar	<ol style="list-style-type: none"> 1. The original letter of approval issued by the securities authorities for issuance. 2. Letter of consent of the Bank's Department of Foreign Exchange (banking enterprises shall annotate the settlement amount and affix their seal on the letter). 3. Credit advice of the funds raised abroad. 	Issuers or participating stockholders
II. Redemption and sale of the securities represented by the global depository receipts	<ol style="list-style-type: none"> 1. The original letter of approval issued buy the securities authorities for issuance 2. Notification of redemption and sale of the securities represented by the depository receipts. 3. "Report of the Issuance and Redemption of Global Depository Receipts in Detail " with the seal of the custodian. 	Custodian of the depository receipts
III. Re-issuance of global depository receipts after redemption	<ol style="list-style-type: none"> 1.The original letter of approval issued by the securities authorities for issuance. 2. Letter of approval issued by the Taiwan Stock Exchange Corporation for the repurchase of the underlying securities through the depository's securities trading account. 3. Notification of the repurchase of the underlying securities by the depository or investors 4. "Report of the Issuance and Redemption of Global Depository Receipts in Detail" with the seal of the custodian. 	Custodian of the depository receipts
VI. Other Inward or outward remittances (such as the outward remittances of cash dividends, or inward remittances of funds for subscription of newly issued shares or tax payments, etc.)	<ol style="list-style-type: none"> 1. The original letter of approval issued by the securities authorities for issuance. 2. Notification of distribution of cash (shares) dividends from the issuer or payment notice for cash capital increase 3. "Report of the Issuance and Redemption of Global Depository Receipts in Detail" with the seal of the custodian. 	Custodian of the depository receipts

Notes:

When engaging in the exchange settlements prescribed in item II, III and IV, the banking enterprises shall note the settlement amount and date on and affix their seals to the "Report of the Issuance and Redemption of Global Depository Receipts in Detail".

Table 9 Matters to be Verified by Banking Enterprises Handling the Declaration of Foreign Exchange Settlements Relating to Repayment of Medium- and Long-Term External Debts by Private Enterprises

Item of settlements	Documents to be verified	Declarant
Outward remittances of repayment of principal and interest payment on medium- and long-term external debts	Bottom Form of "Statement of Medium- and Long-Term External Debts by Private Enterprises" (declaring quarterly outstanding balance of external debts) with the approval and seal of the Bank's Department of Foreign Exchange (banking enterprises shall annotate the settlement amount and affix their seal on the letter).	Borrowers

Table 10 Matters to be Verified by Banking Enterprises Handling Outward Remittances and Inward Remittance to and from Mainland China

Remittances	Documents to be verified	Remitter (Beneficiary)
<p>I. Remittance relating to approved direct investment</p> <p>A. Direct investment in Mainland China</p> <p>1. Outward remittances of equity investment or working capital:</p> <p>2. Inward remittance</p> <p>(1) Transference, or partial or complete withdrawal of investment</p> <p>(2) Dividends or profits</p> <p>B. Investments of Mainland China citizens and enterprises in Taiwan</p> <p>1. Inward remittance</p> <p>(1) Equity investment</p> <p>(2) Appropriated working capital in Taiwan</p>	<p>MOEA approval letter for direct investment.</p> <p>MOEA approval letter for transference or partial or complete withdrawal of investment or report to MOEA with regard to direct investment in Mainland China.</p> <p>Document evidencing the original direct investment approval (report) by (to) the MOEA and documents relevant to the distribution of dividends (profits) by the invested enterprise.</p> <p>MOEA approval letter for investment.</p> <p>MOEA approval letter for investment.</p>	<p>Investors</p> <p>Investors</p> <p>Investors</p> <p>Investors (limited to individuals), invested enterprises (or preparatory offices), domestic agents approved by MOEA, or domestic transferors.</p> <p>Branch companies (or preparatory offices) of Mainland enterprises in Taiwan or domestic agents approved by MOEA.</p>

<p>(3) Loan investment</p>	<p>MOEA approval document for loan investment</p>	<p>Investors (limited to individuals), invested enterprises, or domestic agents approved by MOEA.</p>
<p>2. Outward remittance (1) Transference, partial or complete withdrawal of equity investment</p>	<p>(1) Transference or partial withdrawal: MOEA approval documents for transference or partial withdrawal.</p> <p>(2) Complete withdrawal: MOEA approval letter for complete withdrawal of investment, the court judgment of completion of liquidation procedure (may be replaced by minutes of stockholders' meeting, investors' liquidation allocation report, or and tax payment documents issued by the tax authorities).</p>	<p>Investors (limited to individuals), invested enterprises, domestic agents approved by the MOEA, or domestic transferees.</p> <p>Liquidators, or domestic agents approved by MOEA.</p>
<p>(2) Partial or complete withdrawal of appropriated working capital in Taiwan</p>	<p>(1) Partial withdrawal: MOEA approval letter for decrease in working capital.</p> <p>(2) Complete withdrawal: MOEA approval letter for complete withdrawal of investment and court judgment of completion of liquidation procedure.</p>	<p>Branch companies of Mainland enterprises in Taiwan or domestic agents approved by MOEA.</p> <p>Liquidators, or domestic agents approved by MOEA</p>
<p>(3) Repayment of principals and interests of Loan investments</p>	<p>Approved loan investments documents of MOEA and documents for declaring external debt with the Bank's Department of Foreign Exchange.</p>	<p>Invested enterprises, or domestic agents approved by the MOEA</p>

<p>(4) Dividends or profits</p> <p>(5) Outward remittance of profits of branch companies in Taiwan</p>	<p>Original investment approval documents of the MOEA , minutes of stockholders meeting, profit allocation table, and dividend calculation form.</p> <p>Original investment approval documents of the MOEA and financial statements and tax return documents bearing the seal of the tax authorities of the most recent year, or the most recent annual financial statements audited by certified public accountants.</p>	<p>Investors (limited to individuals), invested enterprises, or domestic agents approved by the MOEA.</p> <p>Branch companies of Mainland enterprises in Taiwan or domestic agents approved by MOEA.</p>
<p>II. Remittance relating to approved securities investment and futures transactions</p> <p>A. Securities investment in Mainland China</p> <p>1. Outward remittance and inward remittance</p> <p>2. Declaration of settlement against New Taiwan dollar</p> <p>B. Investments of Mainland China citizens and enterprises in Taiwan</p> <p>1. Outward remittance and inward remittance of investment principal or futures margin</p> <p>2. Outward remittance of investment gain</p>	<p>The photocopy of the letter of the Securities Investment Trust & Consulting Association (“SITCA”) filed to the securities competent authorities that the enterprise has been approved by the competent authority in Mainland China as a qualified foreign institutional investor (QFII).</p> <p>Apart from the aforementioned documents, documents specified in Subparagraph 2 of Direction 27 or Table 4.</p> <p>Documents evidencing completion of investment registration with the TWSE or Taiwan Futures Exchange (TAIFEX).</p> <p>Apart from the abovementioned documents, the tax authorities’ approval letter for authorizing an agent or a representative to file tax</p>	<p>SITE or SICE</p> <p>SITE or SICE</p> <p>Agent or representative</p> <p>Agent or representative.</p>

	<p>While Assisting Customers to Declare Foreign Exchange Receipts and Disbursements or Transactions.”</p> <p>2. For large amount of remittances, relevant supporting documents pertaining to the purpose of the remittance shall be verified.</p>	
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Notes:

1. When banking enterprises handle remittances specified in item I:
 - (1) Banking enterprises shall annotate the settlement amount and date and affix their seals on the original investment approval letters of the competent authorities.
 - (2) Where the accumulated investment amount for any single direct investment case is under US\$1,000,000, the banking enterprise may at its own discretion process the outward remittance to Mainland China without verifying the investment approval letter from the Ministry of Economic Affairs. However if the remittance is settled against New Taiwan dollar, the amount of remittance shall be counted toward the investor’s accumulated settlement amount of the current year. Where the amount of settlement (or outward remittance) by a company or a firm is US\$1,000,000 or more, or the amount of settlement (or outward remittance) by an individual or an association is US\$500,000 or more, the banking enterprise shall first verify relevant evidencing documents in accordance with Article 5 of the Regulations for Declaration.
 - (3) Inward and outward remittances relating to investment in Mainland China through a company in a third area must go through the third area. Direct remittance to Mainland China is not allowed.
2. When banking enterprises handle remittances specified in item II:

When the income tax payment certificate is used to settle foreign exchange relating to investment profits, banking enterprises shall ensure that the total settlement amount does not exceed the net payment stated on the tax withholding certificate issued by the local tax authorities. Banking enterprises shall annotate the settlement amount on the certificate or evidentiary document and affix seal thereon, and then return the document to the remitter.
1. When banking enterprises handle remittances specified in item IV:
 - (1) For citizens of Mainland China who lawfully inherit the estate of residents in Taiwan, the amount of outward remittance by each heir shall not exceed New Taiwan dollars 2 million, with the exceptions to Mainland China citizens that are the spouse of a ROC national.
 - (2) For life insurance benefits, pension, balance of military discharge payments, and interest accrued to military personnel, civil servants, teachers, or employees of state-owned enterprises in Taiwan, the amount of outward remittance shall not exceed New Taiwan dollars 2 million.

Table 11 Detailed Datafile on Foreign Exchange Settlements Relating to Investments Abroad

Item	From	To	Contents	PIC	Remark
1	1	7	Settlement/ acceptance date	X(07)	YYMMDD (Chinese calendar year), ex: 0970101
2	8	11	Bank code	X(04)	Four English letters as approved by the Bank
3	12	23	Memo No.	X(12)	Left justify, pad spaces to the right
4	24	31	Enterprise's uniform No.	X(08)	
5	32	41	Investor's uniform No.	X(10)	Uniform Business No. / ID Card No. / Alien Resident Certificate No. / other (Pad spaces for unfilled blanks; if the number is more than 10 digits, use the first 10 digits)
6	42	42	Investor's identity	X(01)	1: Business 2: Association 3: Individual (ROC nationals and persons holding Taiwan Resident Certificate) 4: Foreigner (persons holding Alien Resident Certificate or other papers)
7	43	49	Investor's date of birth	X(07)	YYMMDD (Chinese calendar year) ; not required for juristic person (pad spaces)

8	50	62	Amount in NTD	9(13)	Right justify, pad zeroes on the left
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Instructions:

I. File format and data provision

A. File format:

1. Filename format: BBTYYYYMM.TXT

(1) BB is bank ID; for domestic banks, it is the first two letters of the bank code; for foreign banks, it is the last two letters of the bank code.

(2) T is file type code, which is always "T."

(3) YYYYMM is the year (Chinese calendar year) and month of settlement.

2. Items 1 ~ 3 of the datafile will be filled by the banking enterprise, while Items 4 ~ 8 will be filled by the enterprise.

B. Data provision

1. *Enterprise:*

Each time an entity carries out outward remittance settlement against New Taiwan dollar for investment abroad, the *enterprise* shall produce a medium that contains the data on Items 4 ~ 8 of each investor in the sequence of investor's uniform No. (Uniform Business No. / ID Card No. / Alien Resident Certificate No. / other) and provide it to the settlement bank.

2. Settlement bank:

(1) Branches of the bank that receive the media data shall add information to Items 1 ~ 3 and then forward the data to the head office.

(2) The head office shall, before the 10th of each month, compile the settlement data of the previous month and arrange the data in the sequence of date of settlement, enterprise's uniform No., investor's uniform No. (Uniform Business No. / ID Card No. / Alien Resident Certificate No. / other) and deliver the media to the Department of Foreign Exchange. If none of the bank's branches handle such remittance settlement in the previous month, the head office should inform the Department (Data Section) by fax (02-23571271) or by e-mail (10465@mail.cbc.gov.tw).

(3) The foreign exchange purchase (sale) memo No. of the enterprises provided by the settlement banks shall be consistent with the media data reported to the Department on each transaction date.

II. If an enterprise carries out remittance settlement for the net of purchase and redemption amount of investors:

1. If the purchase amount is greater than the redeemed amount, and the enterprise purchases foreign exchange against New Taiwan dollar for the net amount after offset:

For Items 5 ~ 8, the enterprise shall still enter the detailed information of all investors that purchase foreign funds or securities, and provide in addition an “Investors’ Purchase and Redemption of Foreign Investment Data Sheet” (in duplicate as shown in Table 11-1). After the settlement bank has verified the data sheet and affix seal thereon, it will keep the first copy and return the second copy to the enterprise.

2. If the redeemed amount is greater than (or equal to) the purchase amount, and the enterprise carries out (or does not carry out) settlement of foreign exchange sale against New Taiwan dollar for the net amount:

Even if an enterprise does not sell foreign exchange against New Taiwan dollar, the enterprise shall still provide related datafile (by entering the detailed information of all investors that purchase foreign funds or securities in Items 5 ~ 8) to its settlement bank (or any bank it deals with), to which the bank may not refuse (the banking enterprise shall enter the date of acceptance for Item 1, bank code for Item 2, and leave the Memo No. blank). The enterprise shall provide in addition an “Investors’ Purchase and Redemption of Foreign Investment Data Sheet.” After the settlement bank has verified the data sheet and affix seal thereon, it will keep the first copy and return the second copy to the enterprise.

- III. To facilitate sorting and filing of data, enterprises that engage in the business of investment in foreign securities are required to provide the exchange settlement information of investors, regardless of the amount of investment.
- IV. Banking enterprises should provide assistance to the enterprises in filling out the field of “Other outward remittance” under the “Nature of foreign exchange disbursement or transaction” in the Declaration Statement of Foreign Exchange Receipts and Disbursements or Transactions to make sure the nature of the transaction is detailed.

Table 11-1

_____ Co.

Investors' Purchase and Redemption of Foreign Investment Data Sheet

Settlement (acceptance) date:

Investor's purchase amount (NTD): (1)

Investor's redeemed amount (NTD): (2)

Day's settlement amount (NTD): Purchase (1) - (2)

Sale

Company's uniform No.:

Authorized signatory (signature):

Contact person (signature):

Telephone:

Name and seal of settlement (acceptance) bank:

First copy (kept by the settlement bank)

Table 11-1

_____ Co.

Investors' Purchase and Redemption of Foreign Investment Data Sheet

Settlement (acceptance) date:

Investor's purchase amount (NTD): (1)

Investor's redeemed amount (NTD): (2)

Day's settlement amount (NTD): Purchase (1) - (2)

Sale

Company's uniform No.:

Authorized signatory (signature):

Contact person (signature):

Telephone:

Name and seal of settlement (acceptance) bank:

Second copy (kept by the enterprise)