

**INVESTMENT TRUST COMPANY**  
**ADJUSTMENT FORM FOR TRUST FUND RESERVE**  
 \_\_\_\_\_ [Year] \_\_\_\_\_ [Month]

Minimum Reserve			Actual Reserve		
Trust Fund	Discretionary Trust Fund		Cash		
			Securities	All Types of Certificates of Deposit Issued by the Central Bank of the Republic of China (Taiwan) ("CBC")	
	Treasury Bills				
	Government Bonds and Other Securities Issued by the Government from All Ranks				
	Bank Debentures				
	Subordinated Debentures Issued by Financial Institutions				
	Corporate Bonds				
	Other Securities				
Total		Sub-total			
Trust Fund Subject To Submission and Deposit of Trust Fund Reserve			Actual Reserve		
Trust Fund Reserve Ratio					
Minimum Reserve			Surplus (+) or Deficit (-)		

Form Prepared by: \_\_\_\_\_ Operation Personnel: \_\_\_\_\_ Accounting Personnel: \_\_\_\_\_ Section Chief: \_\_\_\_\_

Contact Tel. No.:

- Notes:
1. The minimum trust fund reserve is calculated according to the average daily balance of the trust fund reserve to be deposited, and shall be deposited before the 10<sup>th</sup> day (or next business day in the event that the relevant day is not a business day) of each month to the Department of Banking, CBC.
  2. If the trust fund reserve is deposited in securities, the evaluation shall be based on the par value.
  3. This form shall be prepared in three originals, two for the Department of Banking, CBC, and one will be kept by the bank.

INVESTMENT TRUST COMPANY

DETAILED DEPOSITORY LIST OF RECOGNIZABLE SECURITIES FOR TRUST FUND RESERVE

\_\_\_\_\_ [Year] \_\_\_\_\_ [Month] \_\_\_\_\_ [Day]

Unit: NT\$

Title of Securities	Type	Volume	Amount	Installment Number of the Interest Note	Remarks
Total					

Form Prepared by: \_\_\_\_\_ Operation Personnel: \_\_\_\_\_ Accounting Personnel: \_\_\_\_\_ Section Chief: \_\_\_\_\_

Contact Tel. No.: \_\_\_\_\_

Remarks: This form shall be prepared in five originals. The official seal or registered seal shall be affixed thereon and the names of the two persons effecting this withdrawal shall be stated.

INVESTMENT TRUST COMPANY

DETAILED WITHDRAWAL LIST OF RECOGNIZABLE SECURITIES FOR TRUST FUND RESERVE

\_\_\_\_\_ [Year] \_\_\_\_\_ [Month] \_\_\_\_\_ [Day]

Unit: NT\$

Title of Securities	Type	Volume	Amount	Installment Number of the Interest Note	Remarks
Total					

Form Prepared by:

Operation Personnel:

Accounting Personnel:

Section Chief:

Contact Tel. No.:

Remarks: This form shall be prepared in five originals. The official seal or registered seal shall be affixed thereon and the names of the two persons effecting this withdrawal shall be stated.

**INVESTMENT TRUST COMPANY**

**DETAILED DEPOSITORY BALANCE OF RECOGNIZABLE SECURITIES FOR TRUST FUND RESERVE**

\_\_\_\_\_ [Year] \_\_\_\_\_ [Month] \_\_\_\_\_ [Day]

Unit: NT\$

Securities Title	Par Value			Issuance Conditions					Installment Number of interest Note	Remarks
	Unit Price	Quantity	Total Value	Issuing Date	Interest Rate	Term	Repayment of Principal and Interest	Issuance Method		
Total										

The total par value of the above securities is NT\$\_\_\_\_\_. Please confirm and include same in Account No. \_\_\_\_\_ of our trust fund reserve.

Date: \_\_\_\_\_ [Year] \_\_\_\_\_ [Month] \_\_\_\_\_ [Day]

Form Prepared by: \_\_\_\_\_ Operation Personnel: \_\_\_\_\_ Accounting Personnel: \_\_\_\_\_ Section Chief: \_\_\_\_\_

Contact Tel. No.:

- Remarks:
1. Please complete this form for each of the five categories of Government Bonds, Treasury Bills, Bank Debentures, Corporate Bonds and other securities.
  2. For those issued with a/as discount, please insert the word "Discount" in the Issuance Method column.
  3. This form shall be prepared in five originals. The official seal or registered seal shall be affixed thereon and the names of the two persons effecting this withdrawal shall be stated.

**INVESTMENT TRUST COMPANY**  
**CALCULATION TABLE OF TRUST FUND**

\_\_\_\_\_ [Year] \_\_\_\_\_ [Month]

Unit: NT\$

Date	Company Discretionary Trust Fund (1)	Non-discretionary Trust Fund (Investment in local Market Portion)		Sub Total (4)=(1)+(2)	Non-discretionary Trust Fund (Investment in local Market Portion) (5)	Various Trust Funds Total (6)=(4)+(5)
		Securities Investment Trust-Fund (2)	Others (3)			
1						
2						
31						
Daily Average						
Redeposit to CBC						
Minimum Reserve						
Actual Reserve						
Surplus (+) or Deficit (-)						

Form Prepared by: \_\_\_\_\_ Operation Personnel: \_\_\_\_\_ Accounting Personnel: \_\_\_\_\_ Section Chief: \_\_\_\_\_

Contact Tel. No.:

- Notes: 1. For a company with paid in capital of NT\$\_\_\_\_\_ but has not accepted trust fund business after operations, its trust fund reserve shall be 20% of its paid in capital, which should be paid to the Department of Business of the CBC. If it has accepted trust fund business, its trust fund reserve shall be deposited with the Department of Banking and Department of Foreign Exchange of the CBC, respectively, based on the ratio of business in categories(4) and (5)above.
2. This form shall be prepared in three originals, two shall be sent to the Department of Banking, CBC, and one shall be sent to the Department of Foreign Exchange, CBC.